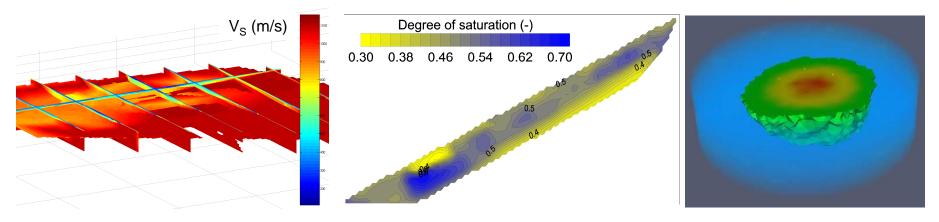


11th ISSMGE Webinar

8th of May 2013



Geophysical Methods for Geotechnical Site Characterization







(ITALY)

Sebastiano Foti

Core member of ISSMGE TC102 "Ground Property Characterization from In-Situ Tests"

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Outline

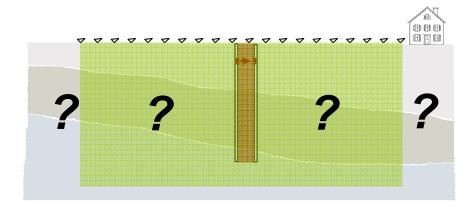
- Geophysical methods
 - Scope and potential for geotechnical and geoenvironmental characterization
 - Use of seismic velocities
 - Significance of other geophysical parameters
 - In-hole vs surface methods
- Combined use of geophysical methods
 - Different levels of integration
 - Case histories
 - Levees
 - Seismic site response
 - Landslides

Geophysical Methods

Geophysical methods are indirect surveying techniques based on measurements carried out **on the ground surface or in holes**. They allow the distribution of physical properties of the subsurface to be estimated and correlated with engineering information.

They are based on the excitation of an object with an energy field (artificial or natural) and on the measurement of the object response.

The interpretation of the object response allows the object to be characterised.



Geophysical parameters

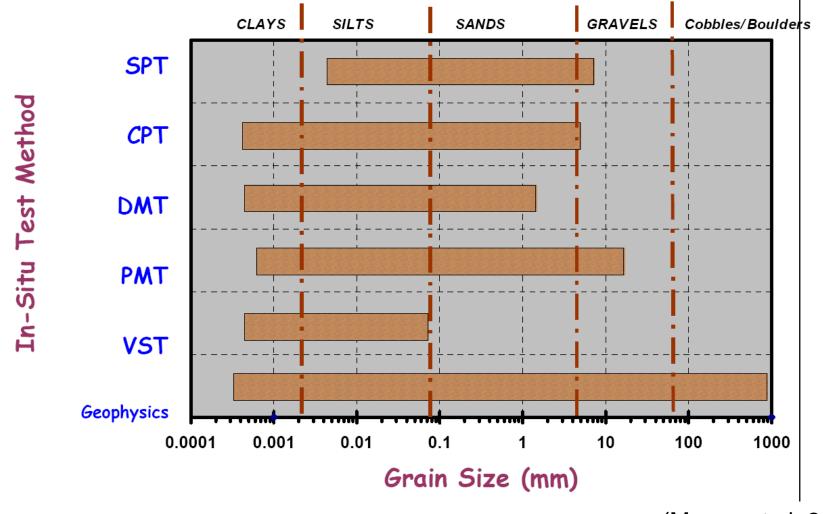
- Density
- Electrical Conductivity (or Resistivity)
- Electrical Permittivity
- Magnetic Suscettibility
- Chargeability
- Seismic velocities

Geophysical parameters

- Density
- Electrical Conductivity (or Resistivity)
- Electrical Permittivity
- Magnetic Suscettibility
- Chargeability
- Seismic velocities

Direct relationship to mechanical parameters of the medium (Elastic Moduli)

Applicability of in situ tests

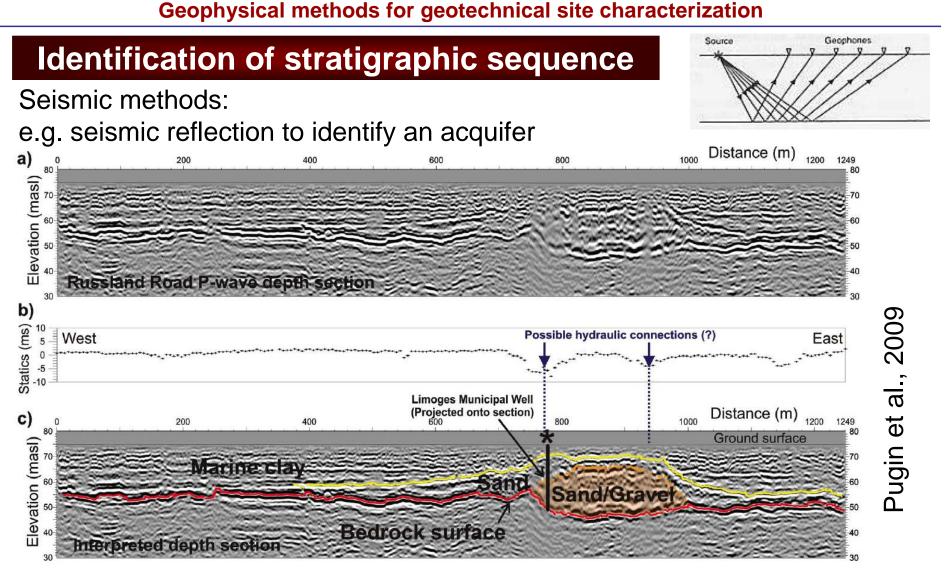


(Mayne et al, 2002)

Geotechnical and geoenvironmental site characterization

In the context of site characterization for engineering purposes, the role of geophysical methods is twofold:

- evaluation of geometrical boundaries to model subsoil conditions (e.g. stratigraphy but also physical inclusions or hydrogeological features);
- evaluation of physical/mechanical parameters of direct use for geotechnical modeling.



In combination with conventional investigation:

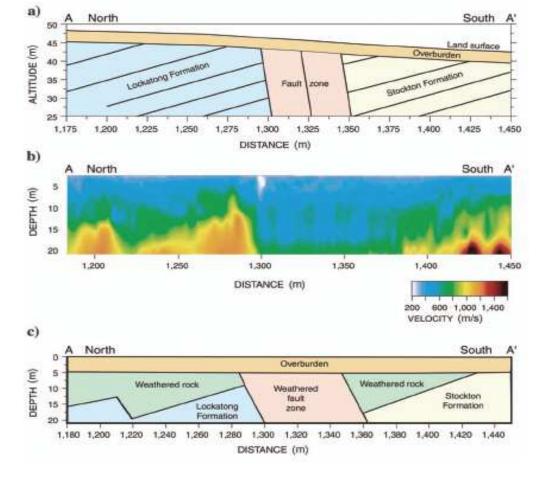
e.g. boreholes logs allow calibration / identification of litography geophysical surveys allow for 2D/3D extension

Lateral variations (shallow faults)

e.g. seismic methods: surface wave tests

Geological model (expected)

2D V_s model from surface wave analysis



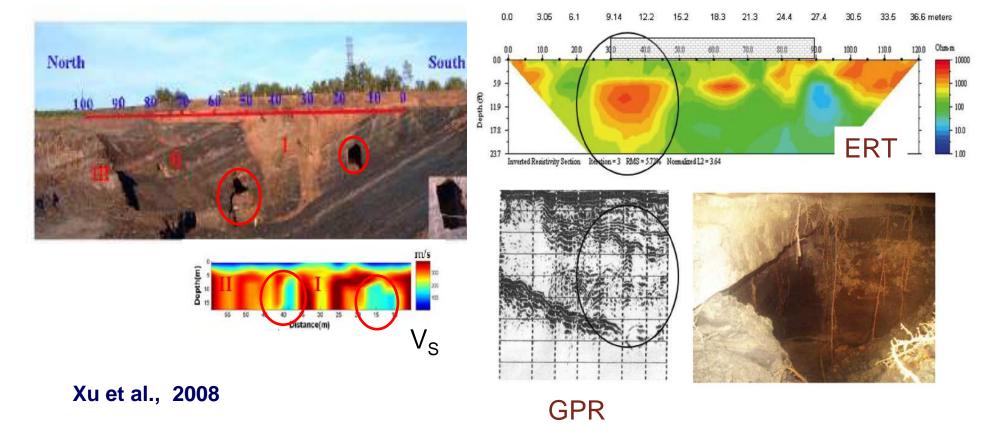
[Ivanov et al., 2006]

Updated geological model

Cavity detection

Example 1: void detection in a minerary area in canada with pseudo-2D V_s sections from surface wave analysis

Example 2: (ERT) Electrical Resistivity Tomography and (GPR) Ground Penetrating Radar surveys reveal a sinkhole beneath a house

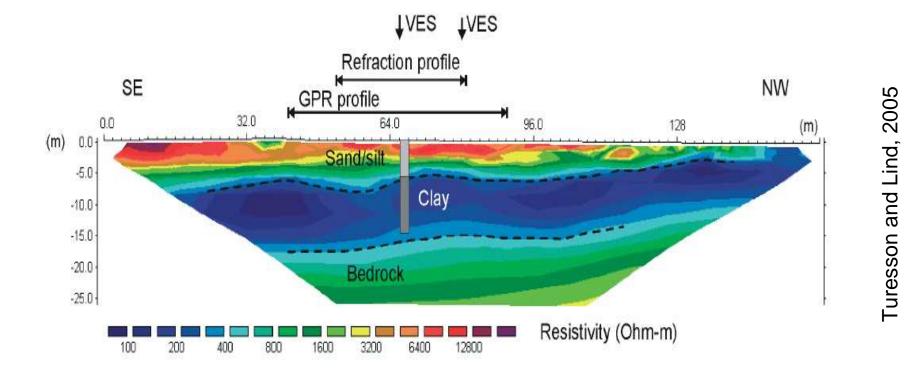


Dobecki and Upchurch, 2006

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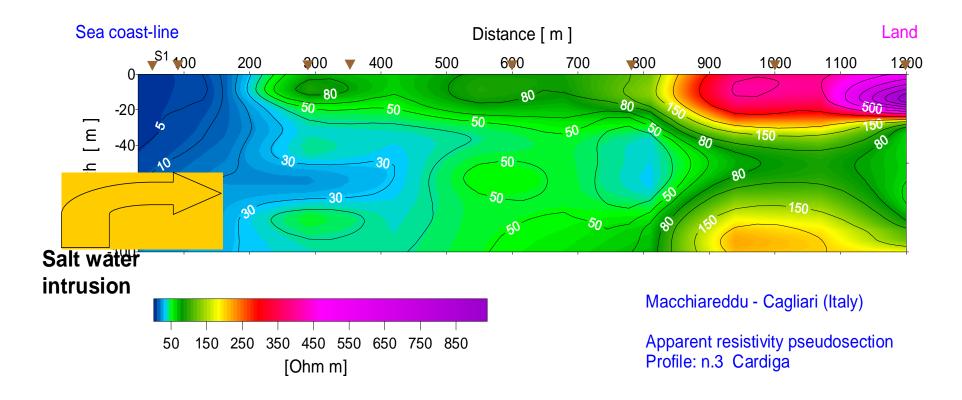
Identification of stratigraphic sequence / local litography

Non-seismic methods: e.g. electrical methods to identify clays below sands



Powerful tools to investigate lateral variations at the site (e.g. for assessing the potential for differential settlements)

Hydro - geophysics



2D rendering of time domain EM vertical 1D profiles for salt water intrusion in coastal aquifer.

Courtesy of Alberto Godio

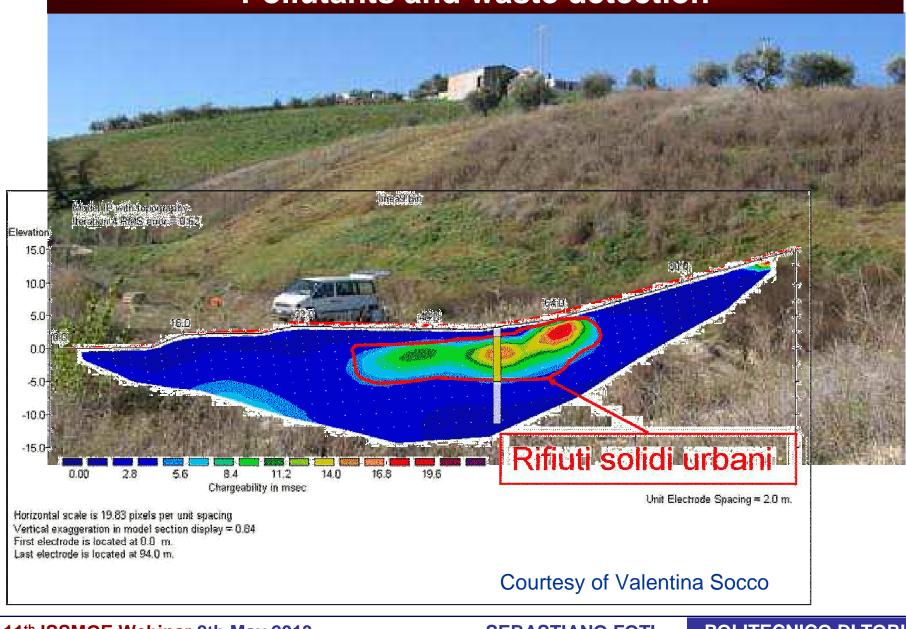
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Pollutants and waste detection



Courtesy of Valentina Socco

Pollutants and waste detection

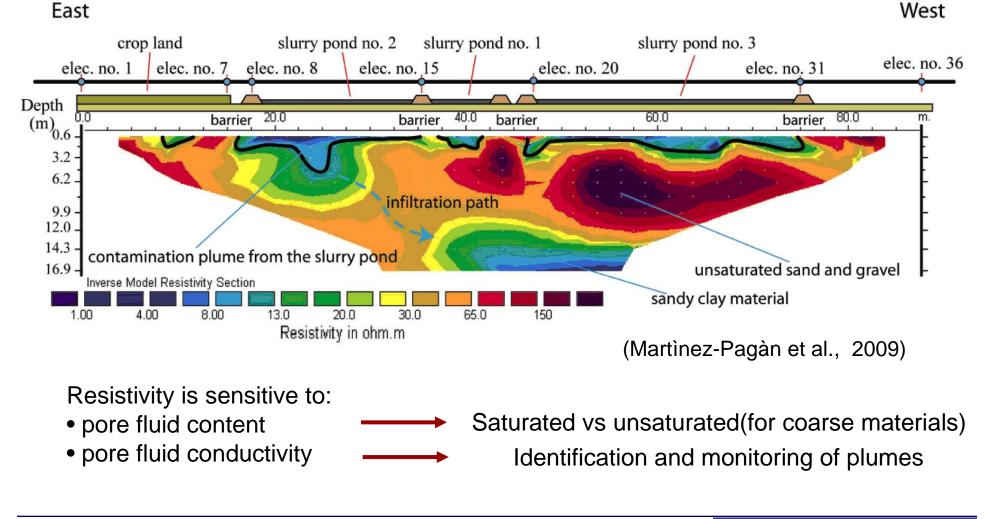


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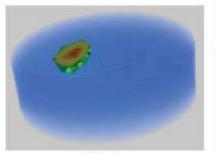
Hydrogeological / environmental applications

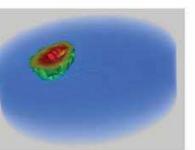




Monitoring in environmental applications

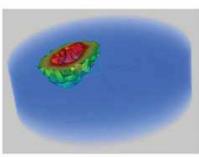




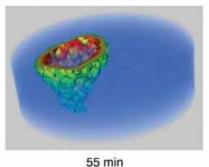


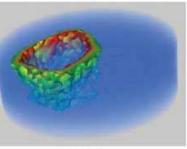
20 min





30 min





40 min

80 min

Example:

3D resistivity tomography on lab soil samples for diffusion of conductive plume monitoring. (Comina et al., 2011).



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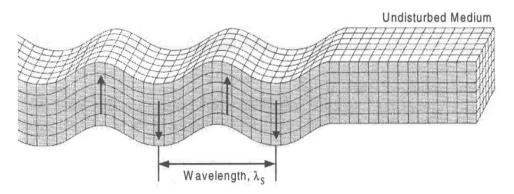
Geotechnical and geoenvironmental site characterization

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- evaluation of geometrical boundaries to model subsoil conditions (e.g. stratigraphy but also physical inclusions or hydrogeological features);
- evaluation of physical/mechanical parameters of direct use for geotechnical modeling.

Seismic methods

Shear wave propagation

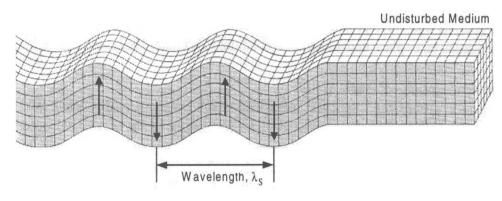


In a linear elastic medium

$$G = \rho V_s^2$$

Seismic methods

Shear wave propagation

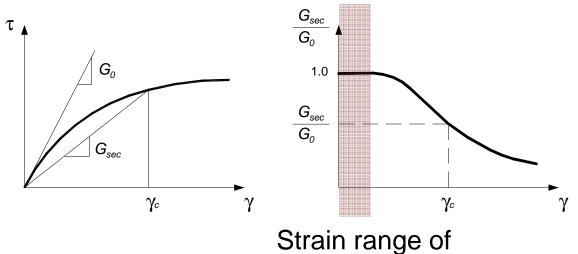


In a linear elastic medium

$$G = \rho V_s^2$$

In soils

 $G_0 = \rho V_s^2$

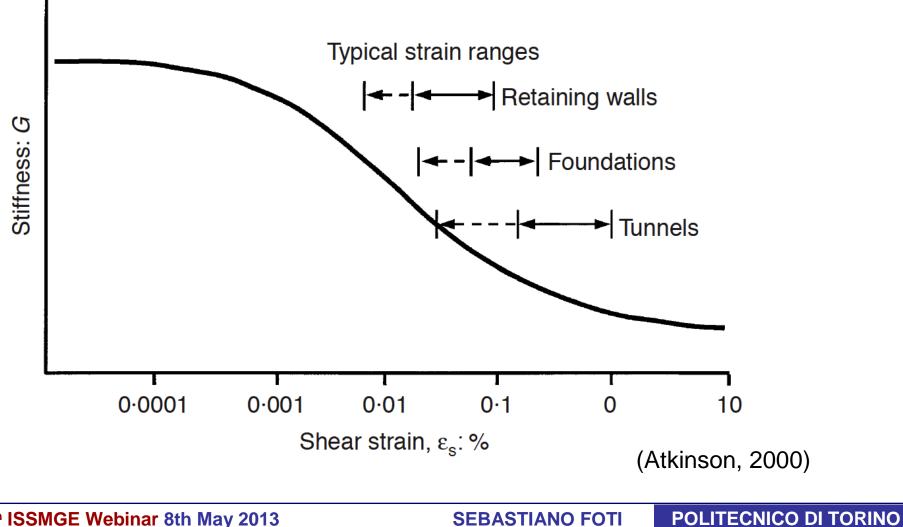


geophysical test

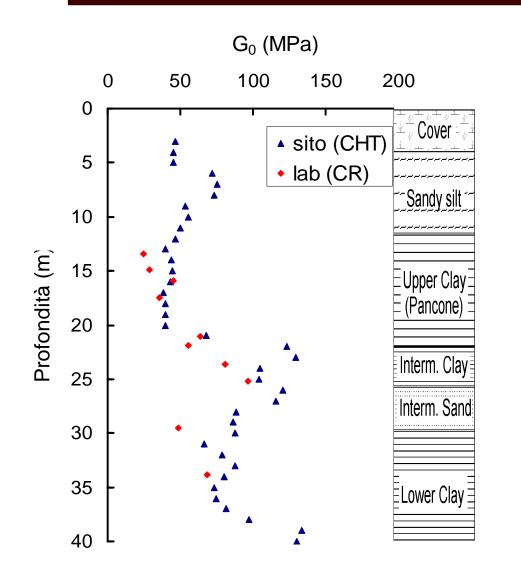
Role of G0 in geotechnical engineering

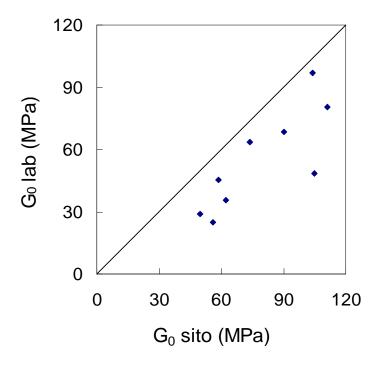
- Evaluation of seismic site response
- Foundation vibrations
- Dynamic soil structure interaction
- Vibrations (e.g. railroads, industrial activities, ...)
- Liquefaction suscettivity assessment
- Monitoring of ground improvement projects
- Correlation to operative values of G at medium strains
- Numerical simulations with advanced constitutive laws
- Evaluation of disturbance of soil samples

Typical strain ranges for geotechnical problems



Site vs Lab (Pisa)

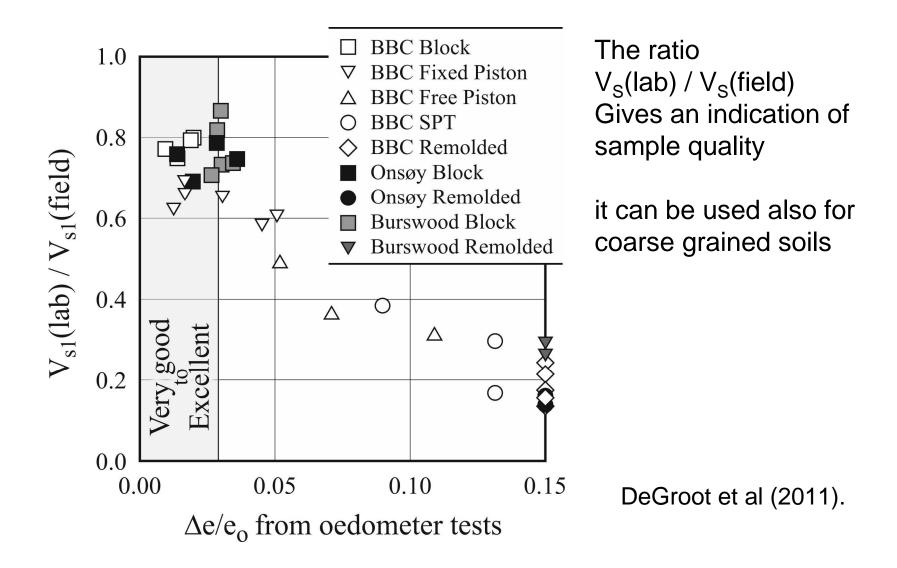




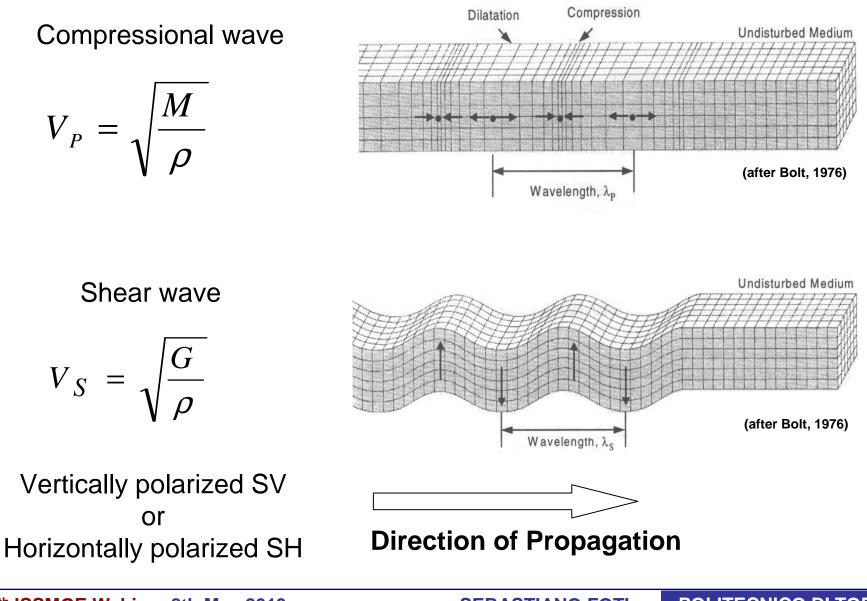
$$G_0 = \rho V_S^2$$

(Cross-Hole Test)

Assessment of sample quality



Body Waves



In a linear elastic isotropic homogeneous medium

$$\begin{split} V_P &= \sqrt{\frac{M}{\rho}} & V_S: \text{ shear wave velocity} \\ V_P: \text{ dilational wave velocity} \\ \rho: \text{ density} \\ G: \text{ shear modulus} \\ M: \text{ laterally constrained modulus} \\ \text{ (oedometric conditions)} \end{split}$$

Note: In saturated soils V_P is strongly influenced by the compressibility of the pore fluid (water)

Biot Theory

Macroscopic approach: the medium is modeled as a binary continuum arising from the superposition of a fluid and a solid phase occupying simultaneously the same regions of space. The porosity is the link between the two.

Hypothesis:

- isotropic, linear elastic soil skeleton
- a non-dissipative compressible fluid saturates all voids
- no relative motion between the solid and the fluid phases

(valid for low frequency range)

Writing the equations of motion for the porous media and applying the Helmholtz decomposition, it is possible to show the existence of two different compressional waves and of a unique shear waves. The fastest compressional wave is called of the first kind or P-wave, the slowest is called of the second kind or Biot wave.

Biot solution

Under the hypothesis of grain incompressibility, the velocity of propagation of body waves in porous media can be written as:

$$V_{P} = \sqrt{\frac{(K^{SK} + \frac{4}{3} \cdot G) + \frac{K^{F}}{n}}{\rho}}$$
$$V_{S} = \sqrt{\frac{G}{\rho}}$$
where $\rho = (1 - n) \cdot \rho^{S} + n \cdot \rho$

- ρ^{s} grain density
- ρ^{F} water density
- K^F water bulk modulus
- K^{SK} soil skeleton bulk modulus
- G shear modulus
- *n* porosity

F

 $v^{\delta K}$ Poisson ratio of the (evacuated) soil skeleton

Biot solution

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where $\rho = (1 - n) \cdot \rho^{S} + n \cdot \rho^{F}$

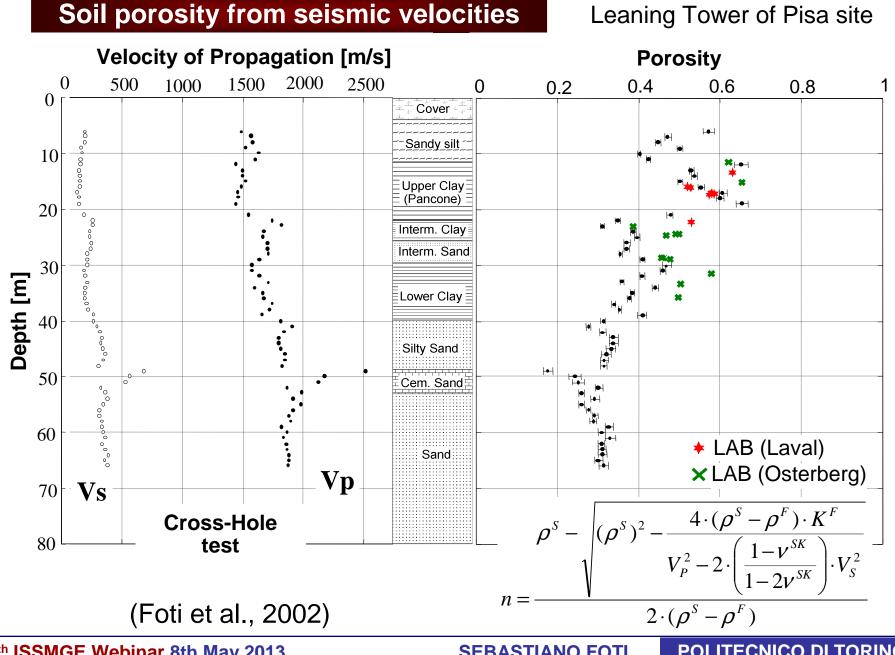
 ρ^{s} grain density

- ρ^{F} water density
- K^F water bulk modulus
- K^{SK} soil skeleton bulk modulus
- G shear modulus
- *n* porosity

 $V^{\delta K}$ Poisson ratio of the (evacuated) soil skeleton

$$\rho^{S} - \sqrt{(\rho^{S})^{2} - \frac{4 \cdot (\rho^{S} - \rho^{F}) \cdot K^{F}}{V_{P}^{2} - 2 \cdot \left(\frac{1 - \nu^{SK}}{1 - 2\nu^{SK}}\right) \cdot V_{S}^{2}}}$$
$$n = \frac{2 \cdot (\rho^{S} - \rho^{F})}{2 \cdot (\rho^{S} - \rho^{F})}$$

 $\rho^{S}, \rho^{F}, K^{F}$: standard values V_P & V_S: measured ν^{SK} : range 0.1÷0.4

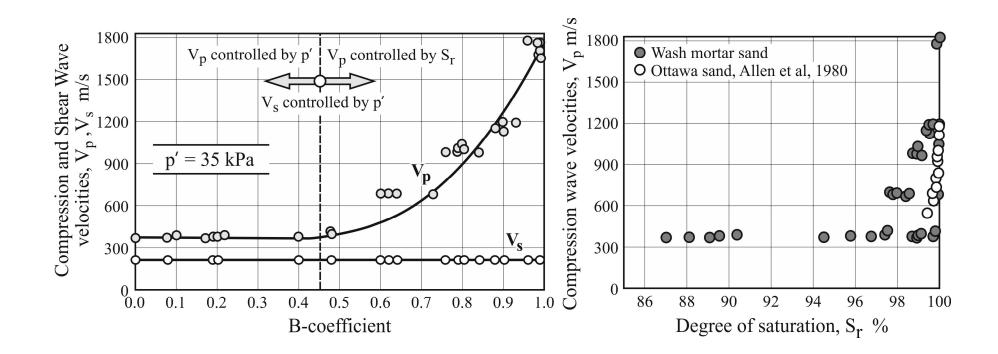


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Degree of saturation

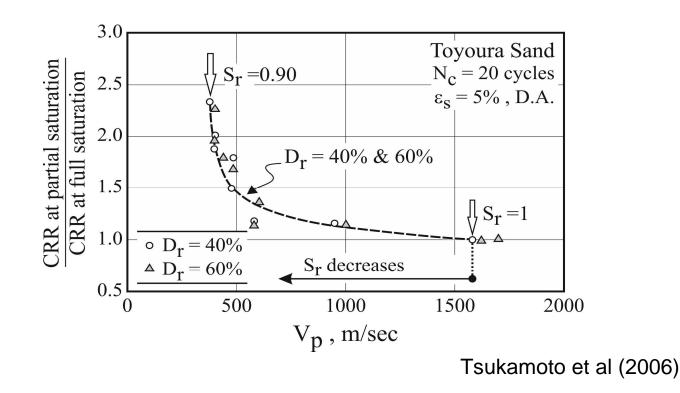
Also very limited desaturation has a strong effect on the V_{P}



Valle-Molina (2006)

Influence of degree of salutarion on liquefaction resistance

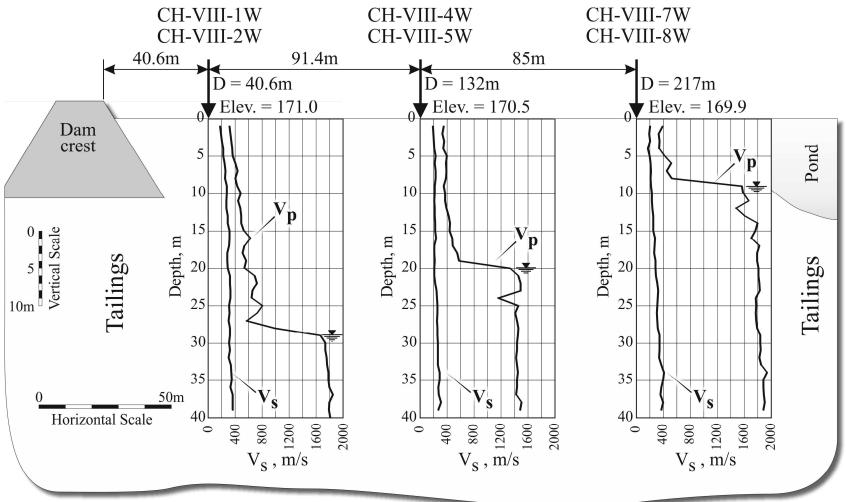
saturation degree strongly affect liquefaction resistance \rightarrow V_P can be used to monitor saturation and esclude liquefaction



Example: Zelasny Most tailing dam

West dam

Jamiolkowski, 2012



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Non seismic methods

Quantitative use of geophysical parameters other than seismic velocities is less straightforward and typically require the use of empirical correlations with geotechnical parameters

Example: electrical conductivity of soils

Trasport parameter related to:

- fluid properties (solubility of ionic species, concentration);
- mineralogy and specific surface of the solid grains;
- porosity and fabric

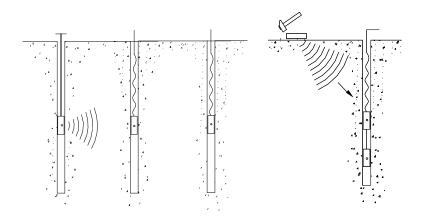
Archie	$\sigma_t = \sigma_w n^m S_r^p$	<i>n</i> : porosity S: saturation
Bruggeman	$\sigma_t = \sigma_w n^{3/2}$	m = 3/2 : theoretical
Waxman & Smits	$\sigma_t = X (\sigma_w + \sigma_s)$	$\sigma_{ m s}$: clay surface conductivity

 σ_w : pore fluid conductivity

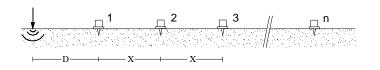
Geophysical methods for geotechnical site characterization **Example at Lab scale** Polito – 2D ERT (Borsic et al., 2005) Identification of zones with different compaction levels in sand **Coarse Matrix** n ≈ **0.48 Dense Inclusion** n ≈ **0.43** mS/cm 0.245 60 0.24 Estimated values with 40 0.235 Bruggeman equation 0.23 20 0.225 ٥ Matrix n ≈ **0.46** 0.22 -20 0.215 Inclusion $n \approx 0.42$ -40 0.21 0.205 -60 -20 0 0.2 -80 -40 20 40 3. Tomographic reconstruction

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In-hole vs surface methods (Invasive vs Non-invasive methods)



Cross-Hole Test (CHT) Down-Hole Test (DHT) Seismic Cone (SCPT) Seismic Dilatometer (SDMT) P-S Suspension Logging Vertical Seismic Profiling (VSP)

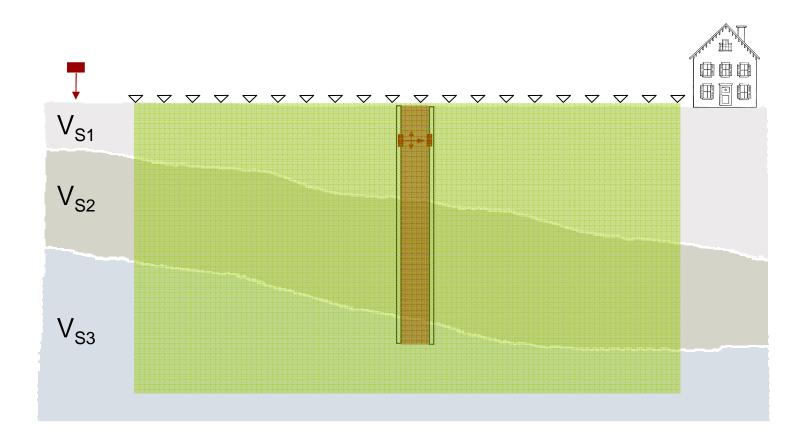


Surface Waves Methods SWM (SASW, MASW, microtremors) Seismic Refraction (P-waves or SH-waves) Seismic Reflection (P-waves or SH-waves)

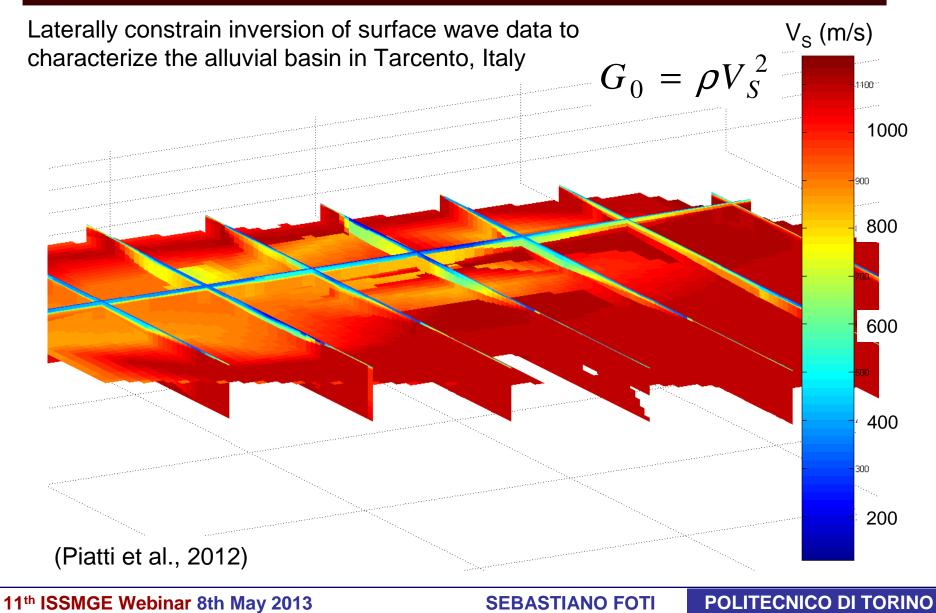
In-hole vs surface methods

	Invasive Tests	Non-Invasive Tests	
	Direct measurements: simple and accurate interpretation	Costs and flexibility (in time and space)	
Advantages	Good resolution also at great depth	Non-intrusive (e.g. important for waste landfills)	
dvar	Easier standardization	Average properties (dynamic	
4	Additional information from borehole logging or the	behaviour of the whole soil deposit)	
	penetration of the cone	Large volumes are investigated	
isadvantages	Costs and necessity of planning well in advance	Complex interpretation (indirect measurements based on inversion	
dvar	Local measurement	procedures or heavy processing)	
Disa		Accuracy and resolution at depth	

In-hole vs surface methods



3D V_s model



Flexibility of Surface Methods

U. Texas - Austin



Deep exploration large amplitude signals \rightarrow reliable data at very low frequency

ALL FIT IN A BACKPACK





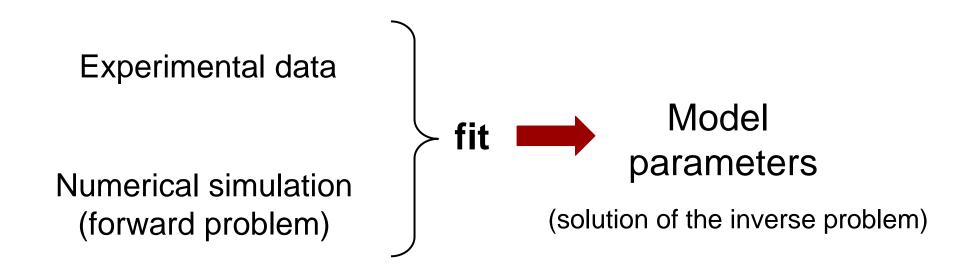
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Inverse methods

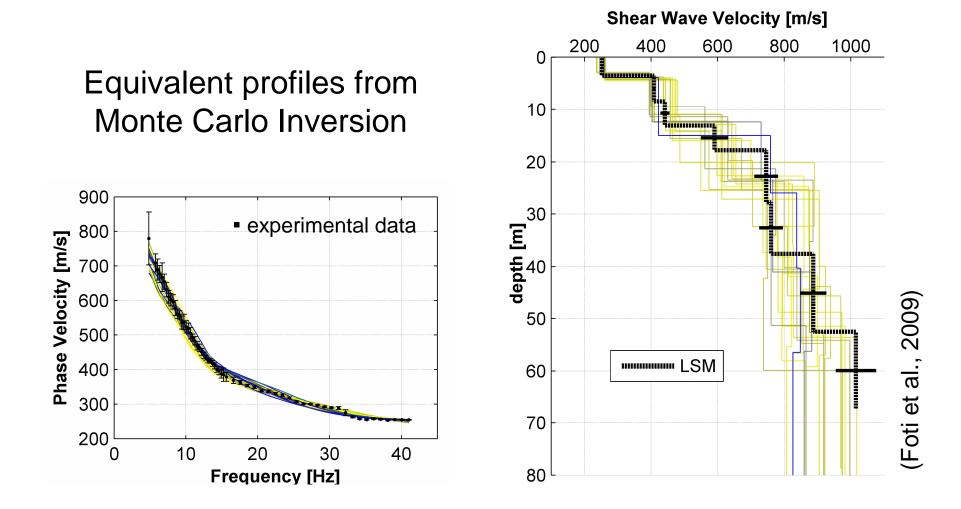
From the **measurement along a boundary** we want to estimate the **properties inside the medium**



Solution non-uniqueness

(equivance of several possible solutions with respect to the experimental data)

Example: solution non uniqueness in surface wave analysis

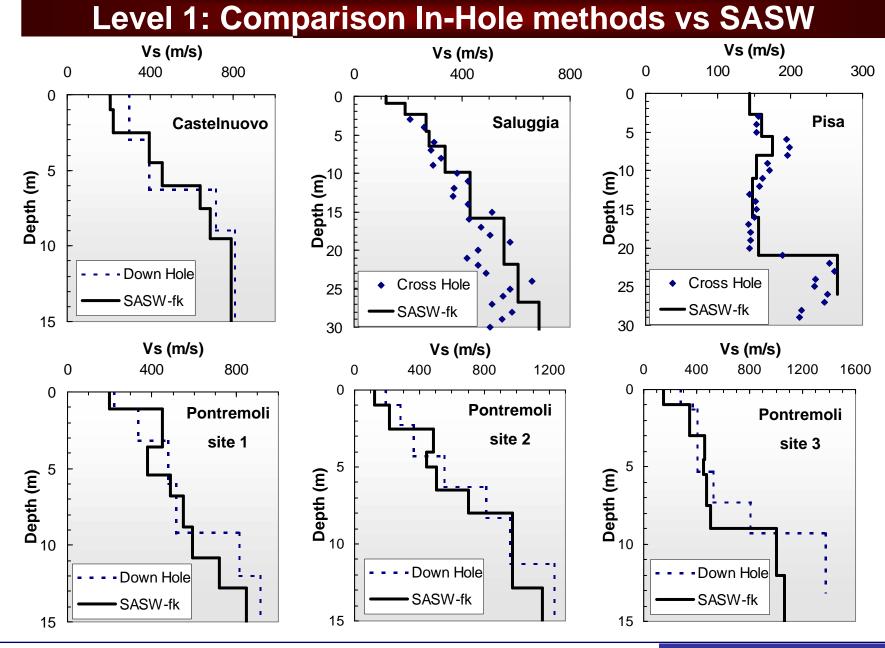


Additional information can help in constraining the solution

Combined use of geophysical methods

Synergies between different techniques can be exploited at different level of integration:

- Level 1: comparison for validation / calibration
- Level 2: data integration and data fusion (combining different information on the same medium)
- Level 3: a priori info (one method help the other)
- Level 4: joint inversion (simultaneous interpretation of different dataset)



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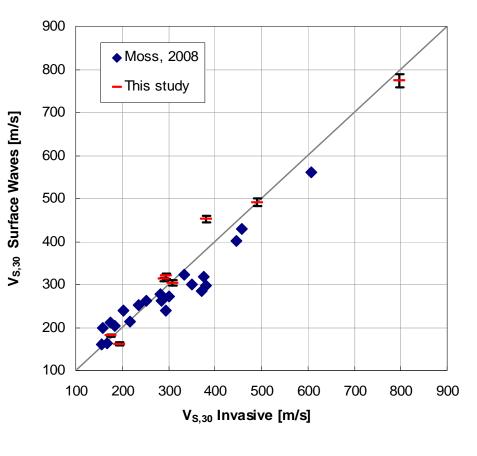
Level 1: SASW vs Invasive Methods

$$V_{S,30} = \frac{30}{\sum_{i=1..N} \frac{h_i}{V_{S,i}}}$$

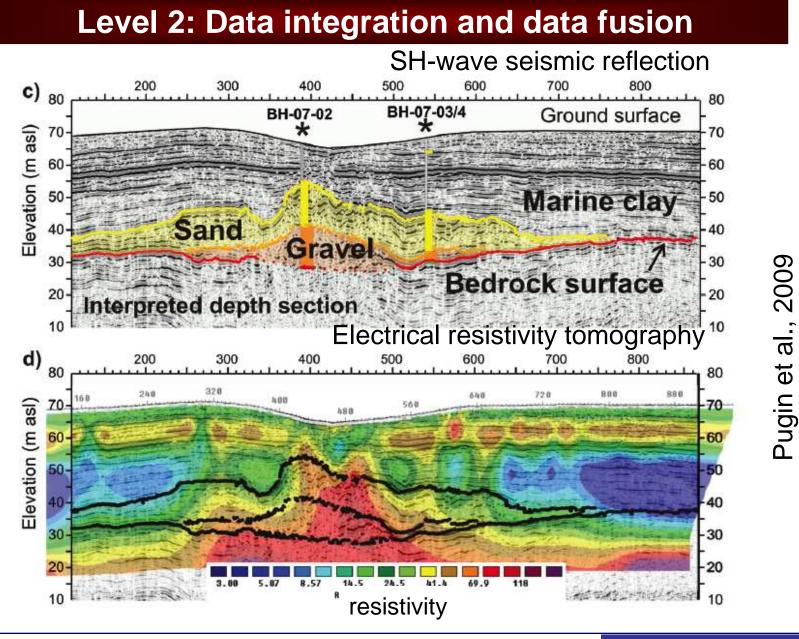
EC8

Seismic subsoil classification

Soil class	V _{s,30}
Α	> 800
В	360 - 800
С	180 - 360
D	< 180
E (C, D su A)	



(Comina et al., 2011)



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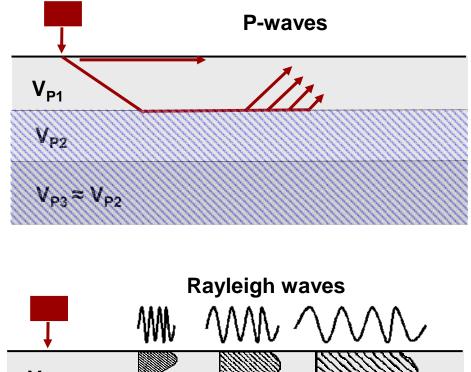
Combined use

- Level 1: comparison for validation
- Level 2: data fusion
- Level 3: a priori info
- Level 4: joint inversions

Example: synergies of seismic refraction and surface wave analysis (SWM)

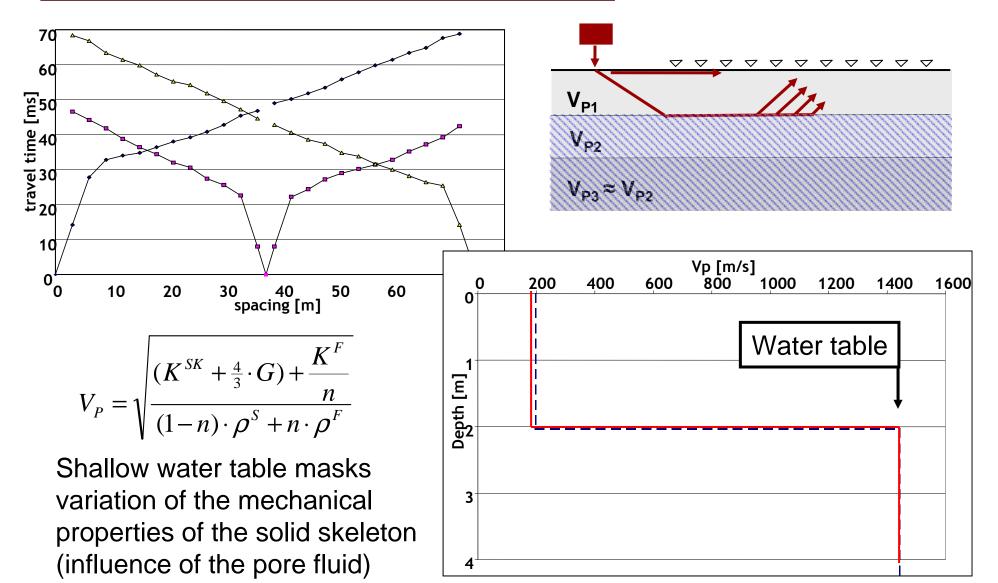
Example of synergy: SW + V_P refraction

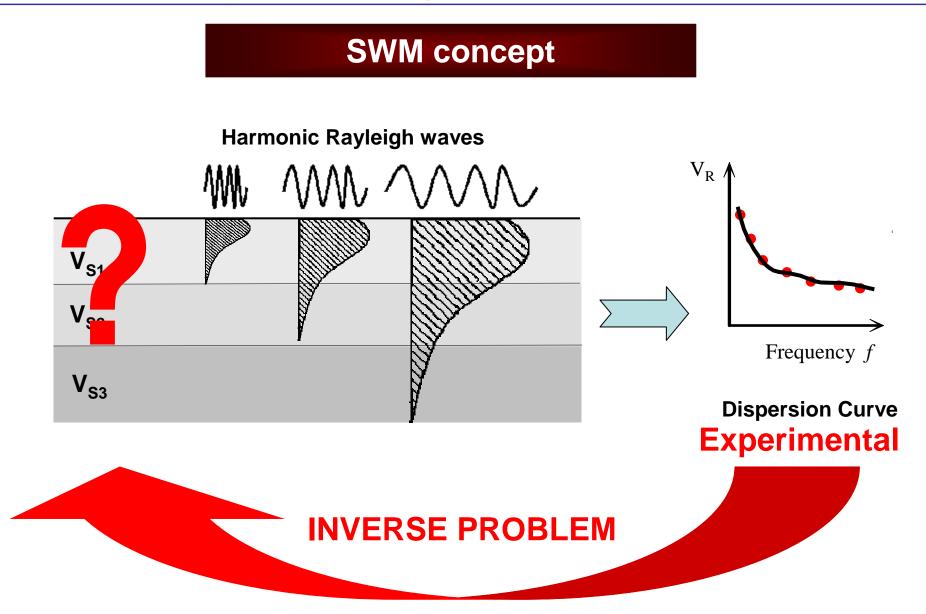
Experimental data contain both surface waves and direct/refracted P waves





P-WAVE REFRACTION





The inverse problem

Objective: to find the set of model parameters such that the difference between numerical and experimental dispersion curve is the least

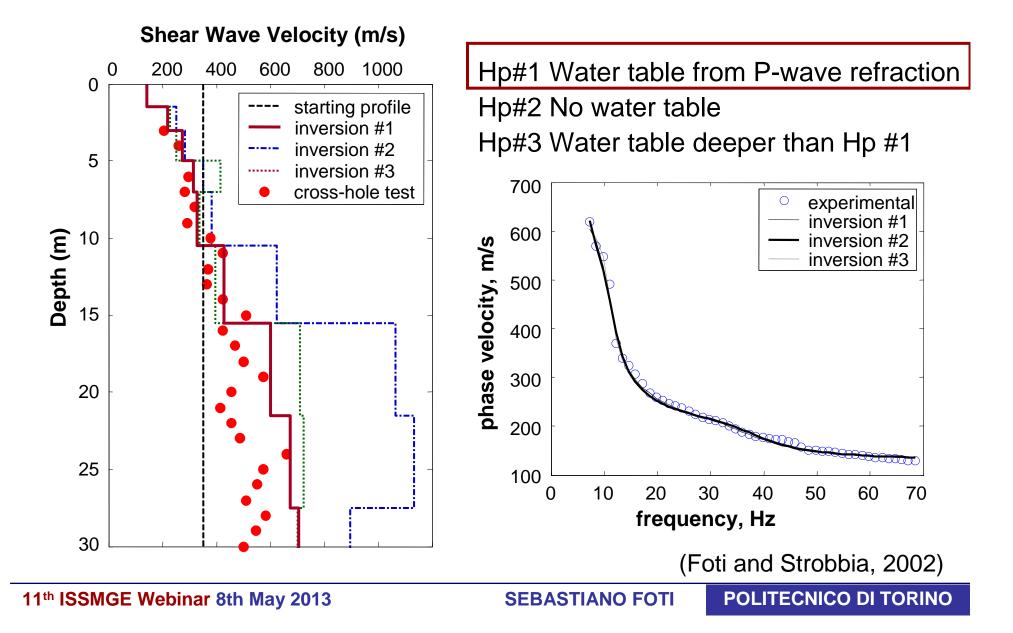
Model: Stack of linear elastic layers 700 experimental 600 numerical H₁ =? Vs₁=? phase velocity, m/s 500 $H_2 = ?Vs_2 = ?$ H₃ =? Vs₃=? 400 300 Vs_=? 200 Usually v_i and ρ_i are fixed 100 10 20 30 0 40 50 60 70 and H_i and G_i (or V_{Si}) are frequency, Hz the unknowns

Critical aspect: illposedness of mathematical inverse problems

Water Table Influence

	Dry Soil	Sat Soil	
Soil Density	1.2 ÷2.0	1.8 ÷2.3	Weight of water filling the voids
Poisson Ratio v	0.1÷0.3	≈ 0.49	Undrained behavior at low frequency (f<100Hz) → no volumetric strain

Experimental Data



Level 4: joint inversion

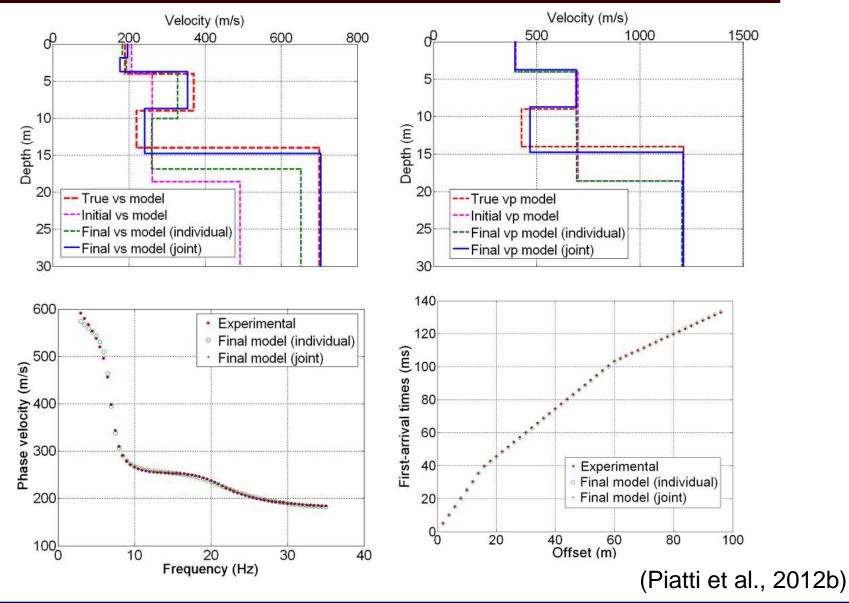
(Piatti et al., 2012b)

A single inversion problems is solved considering all the available experimental information: the best fit parameters for both VP and VS models are obtained

A single misfit parameter include misfit on Rayleigh wave dispersion curve and P-wave travel times

$$L = \left(\frac{1}{N+M+A} \left[\left(\mathbf{d}_{obs} - \mathbf{g}(\mathbf{m}) \right)^{T} \mathbf{C}_{obs}^{1} \left(\mathbf{d}_{obs} - \mathbf{g}(\mathbf{m}) \right) \right] \right)$$
$$\mathbf{d}_{obs} = \left[\left(\log(V_{R1}), \log(V_{R2}), \dots, \log(V_{RN'}) \right) \left(\log(t_{1}), \log(t_{2}), \dots, \log(t_{N''}) \right) \right]$$
$$\mathbf{g}(\mathbf{m}) = \begin{bmatrix} \mathbf{g}_{SW}(\mathbf{m}) \\ \mathbf{g}_{PR}(\mathbf{m}) \end{bmatrix} \qquad \mathbf{m} = \left[\left(\log(h_{1}), \log(h_{2}), \dots, \log(h_{n}) \right) \left(\log(V_{S1}), \log(V_{S2}), \dots, \log(V_{Sn+1}) \right) \\ \left(\log(V_{P1}), \log(V_{P2}), \dots, \log(V_{Pn+1}) \right) \right]$$

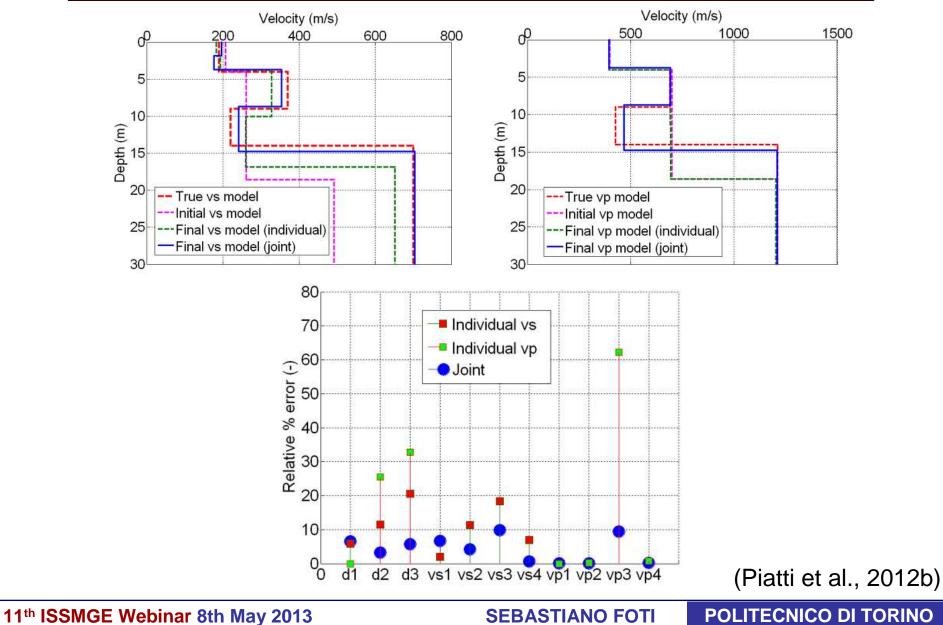
Example on synthetic data



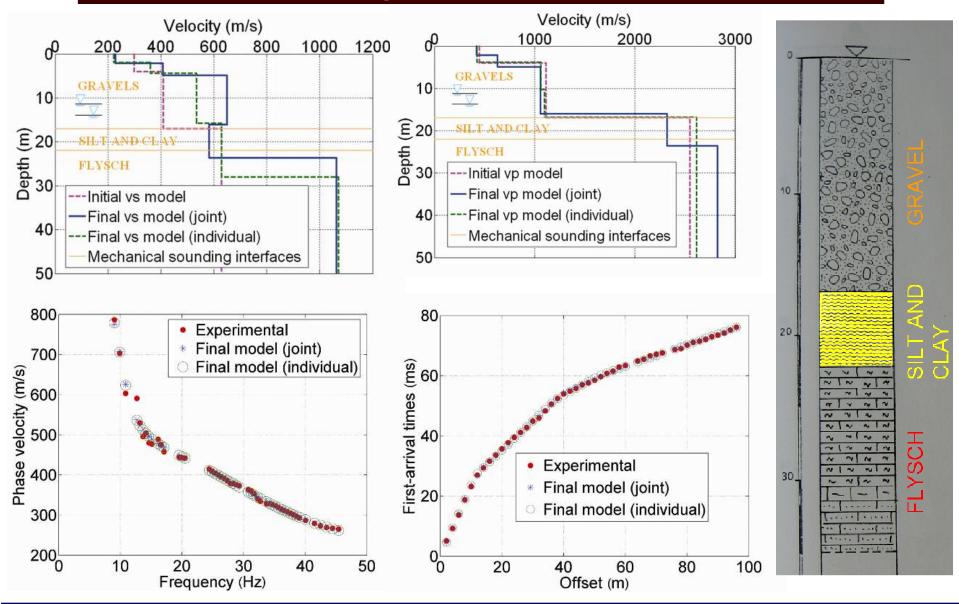
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Example on synthetic data



Experimental data



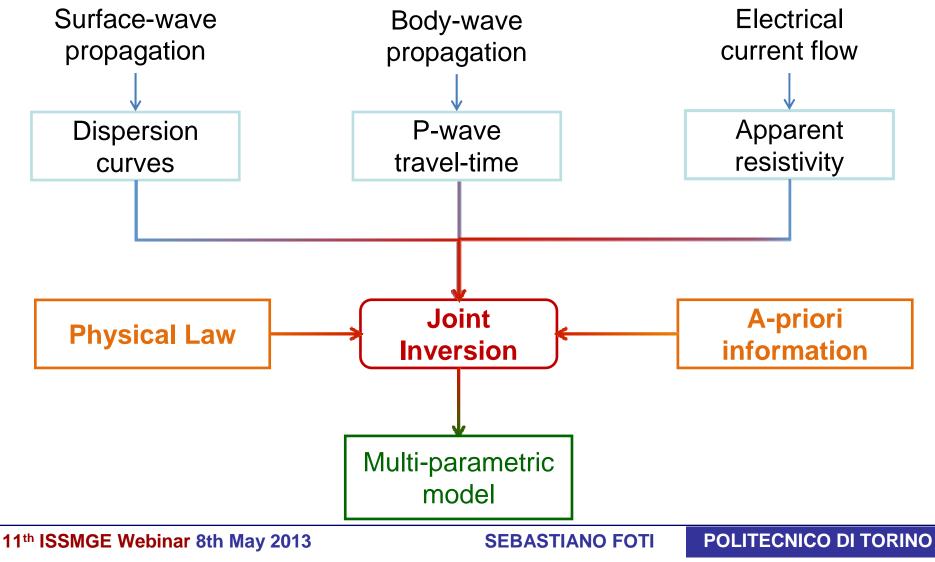
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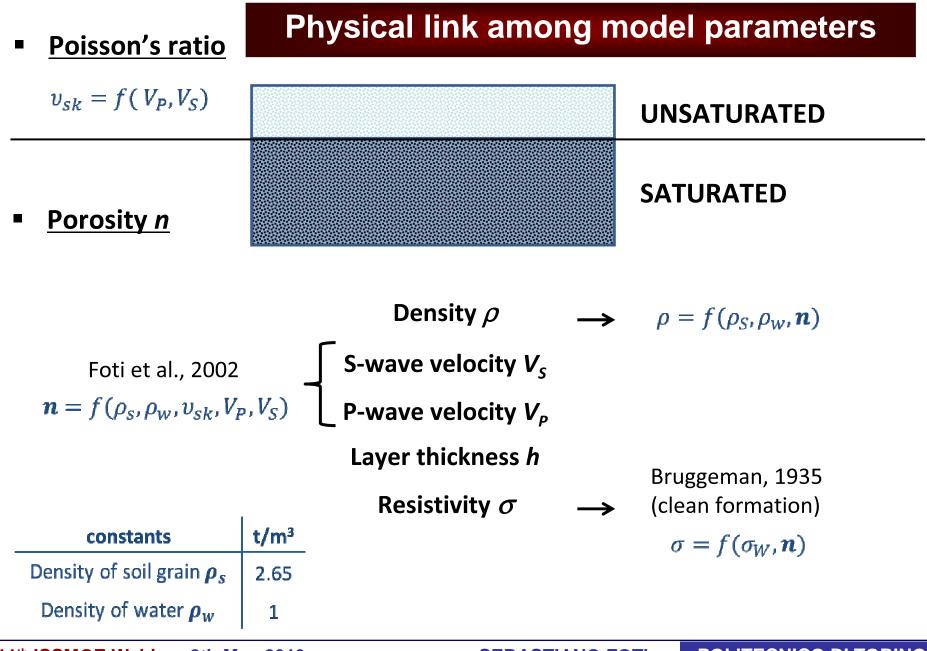
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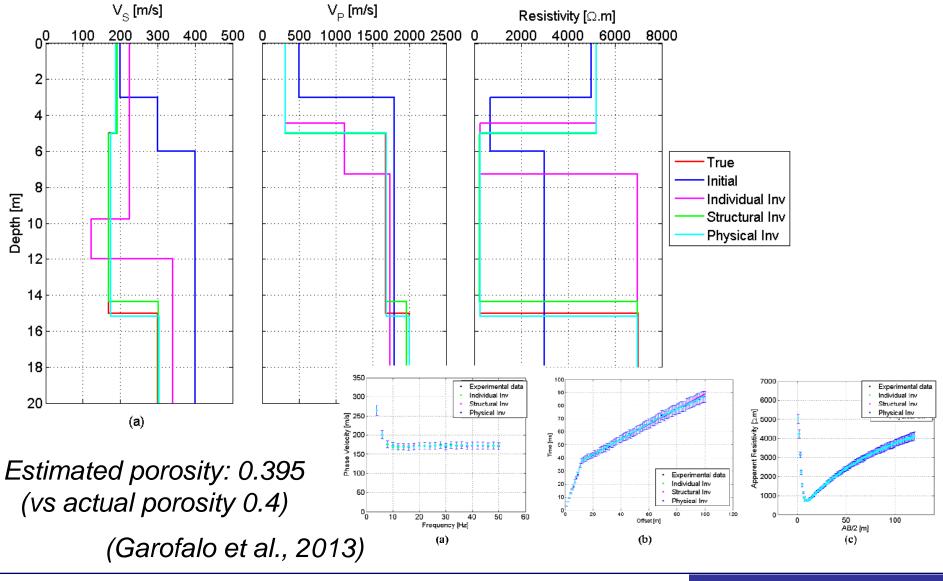
Joint inversion algorithm

joint-inversion algorithm for a set of experimental data related to different physical phenomena and in order to obtain an internally consistent multi-parametric layered model





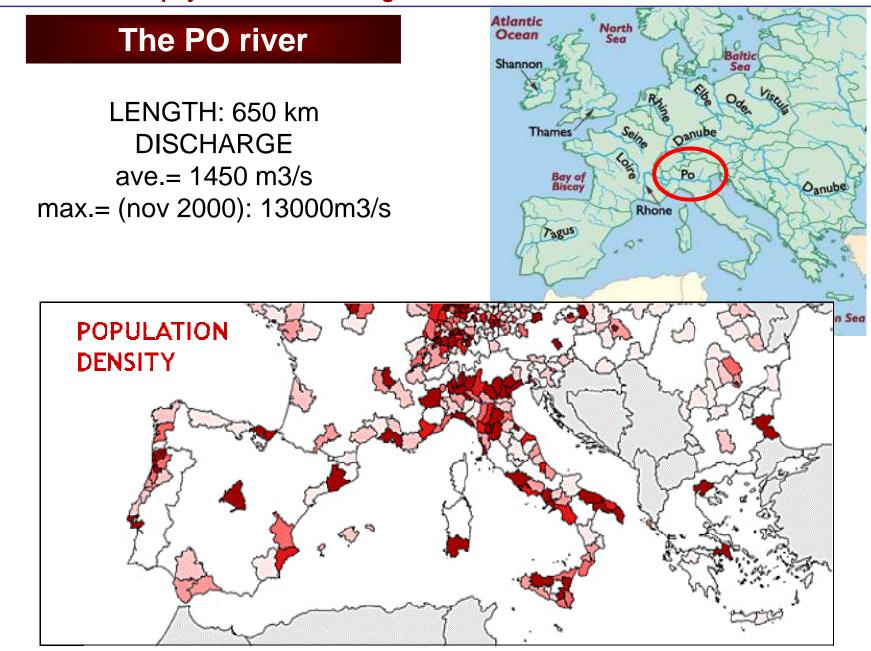
Preliminary results on a synthetic model



Case History #1

Combination of seismic and electrical methods for the assessment of site conditions for seepage analysis along an embankment

- Combination of several methods for reliable evaluation of cover thickness
- Joint inversion to improve accuracy



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Seepage potential

Floods very often start with localized seepage that can degenerate causing inundations

10 extreme events each 100 years

Levees for a total length over 2400 km



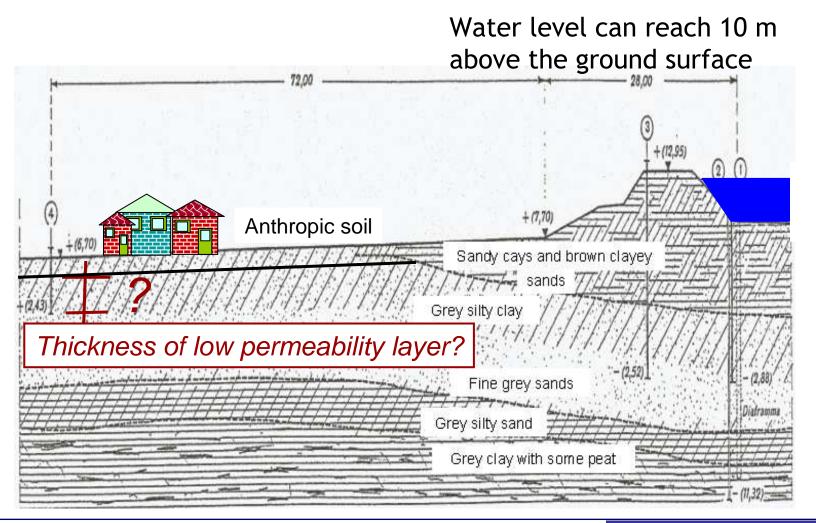
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Seepage potential

Geology: alluvial deposits: recent sands, gravel, clay TARGET: clayey layer: continuity, thickness

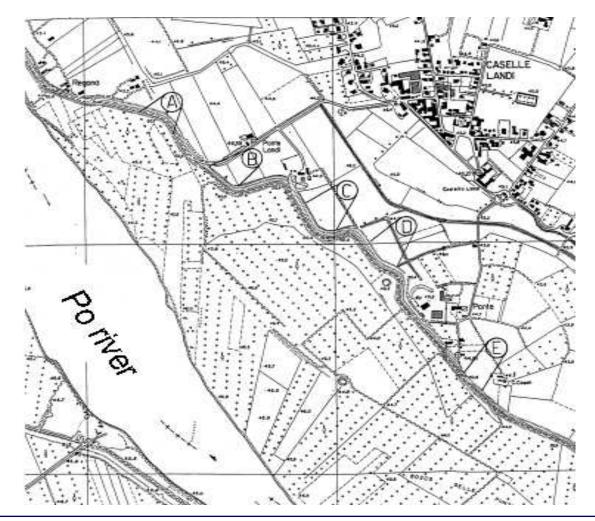


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Geophysical investigation

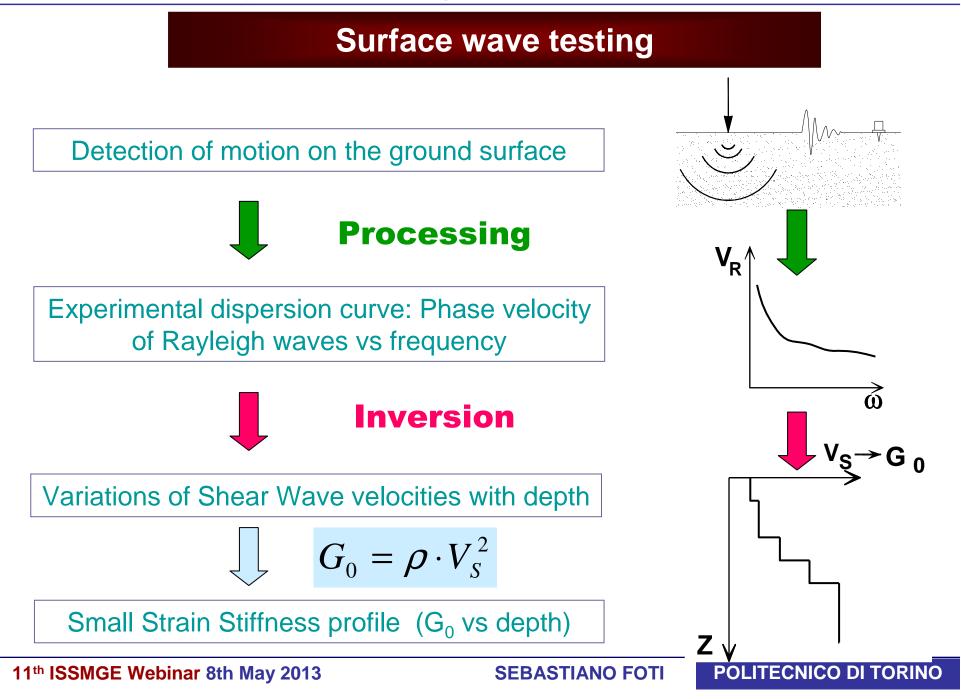
large extension of the areas Interest in fast geophysical tests from the surface



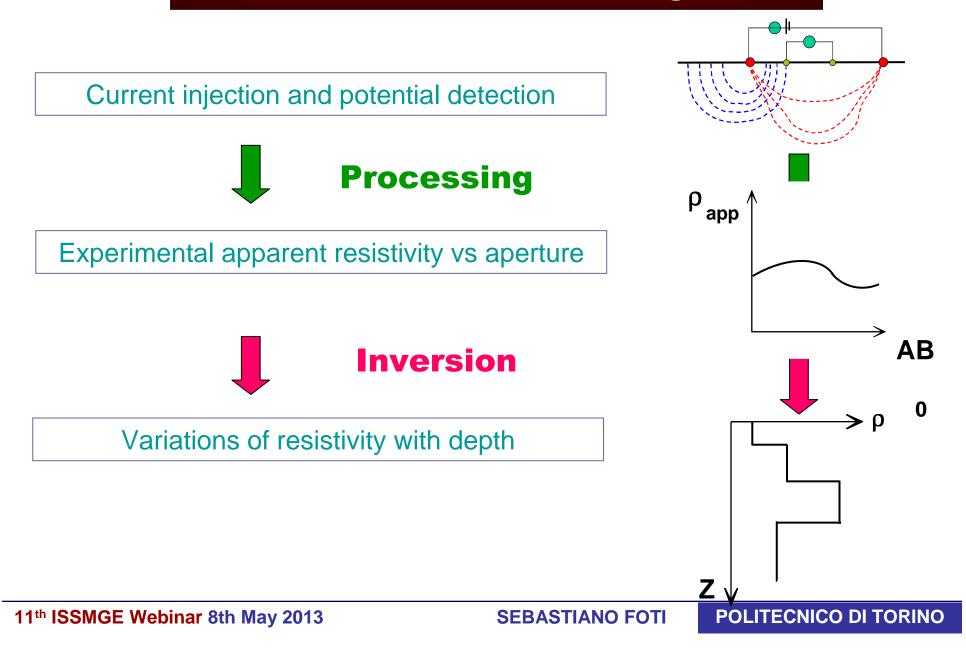
At a test site several methods have been tested and compared

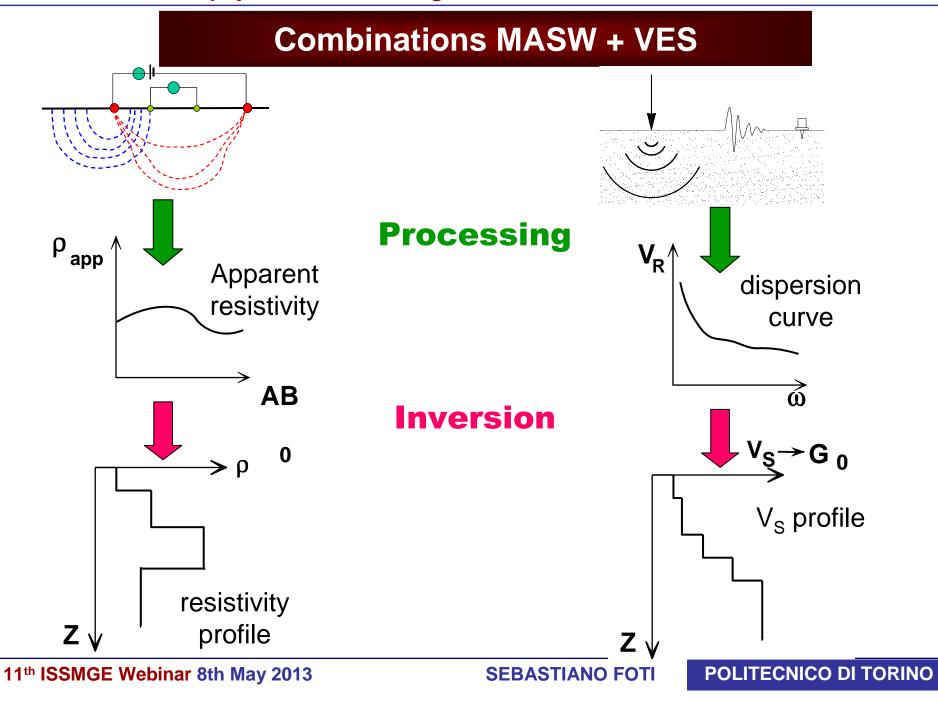
> VES ERT HEP SWM P_{refr} SH_{refr}

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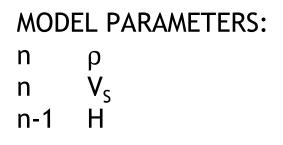
Vertical Electric Soundings



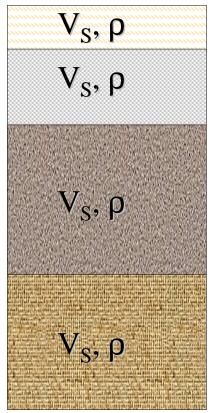


Joint inversion VES + MASW

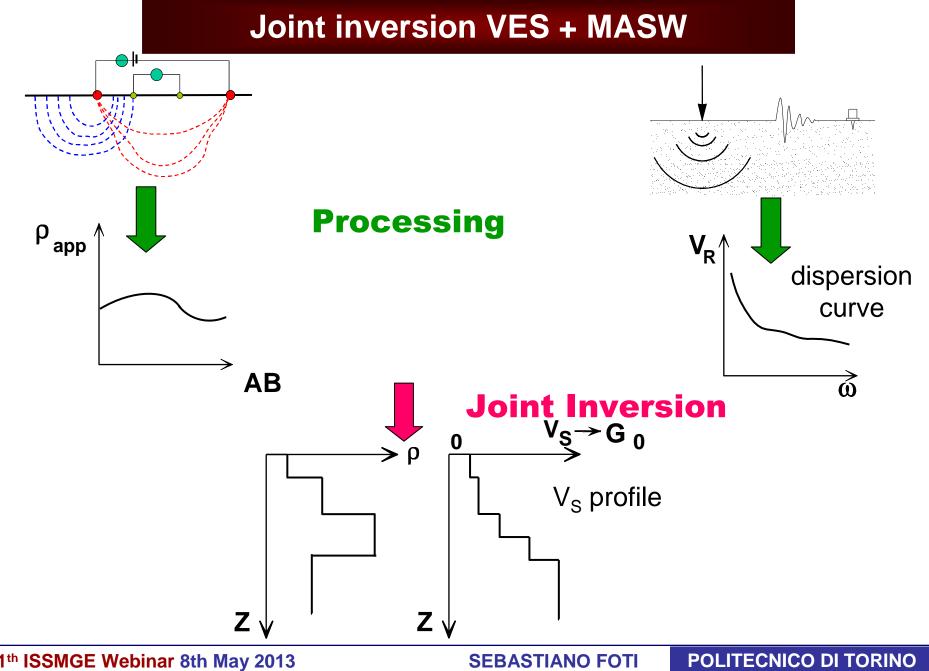
Physical parameters: shear velocity and resistivity Assumed parameter distribution: stack of homogeneous isotropic layers



LINK BETWEEN THE TWO MODELS: geometry, thickness of the layers (same position of interfaces: independent variations of the two parameters, a variation of resistivity does not imply a variation of seismic shear velocity)

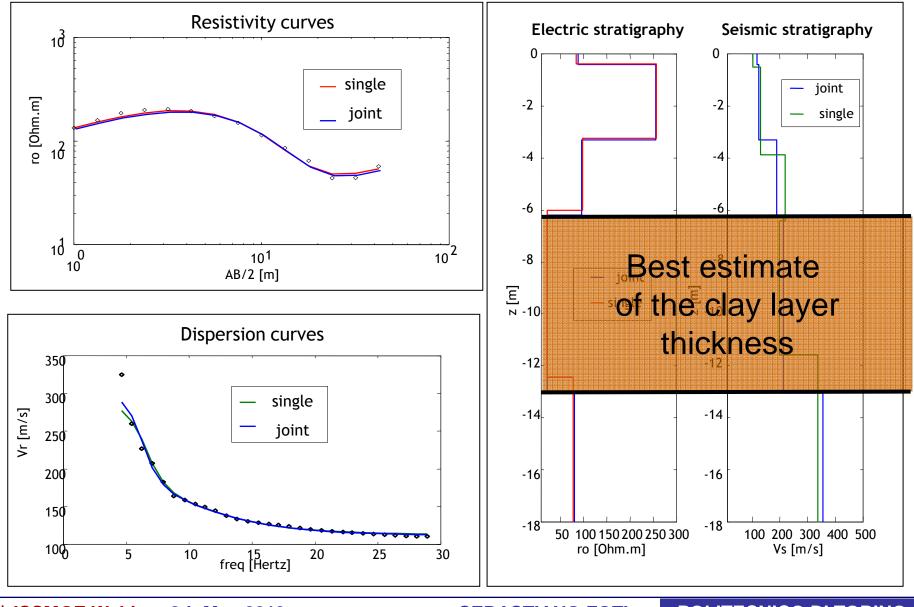


From 4n-2 to 3n-1 unkowns with the same experimental information



Field test results

(Comina et al., 2004)



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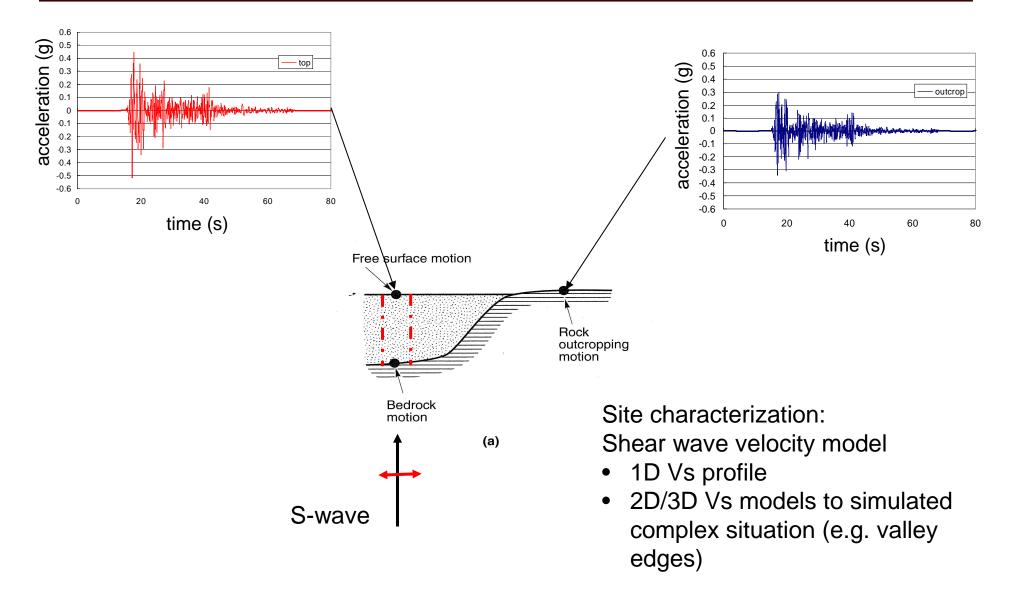
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Case history #2

Building a shear wave velocity model for seismic site response studies

- Combination of different techniques for validation
- Exploitation of the information in the seismic dataset with different methodologies
- Integration of information

Numerical simulations of seismic site response

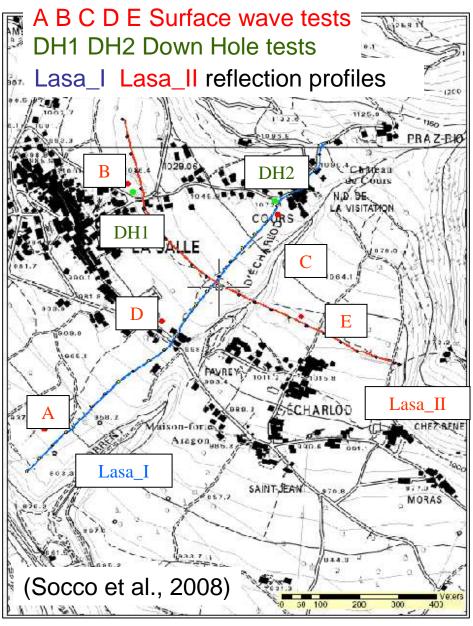


Case Study: La Salle, Italy

Alluvial Fan

Materials with very heterogenous composition: there are not many other option for the characterization

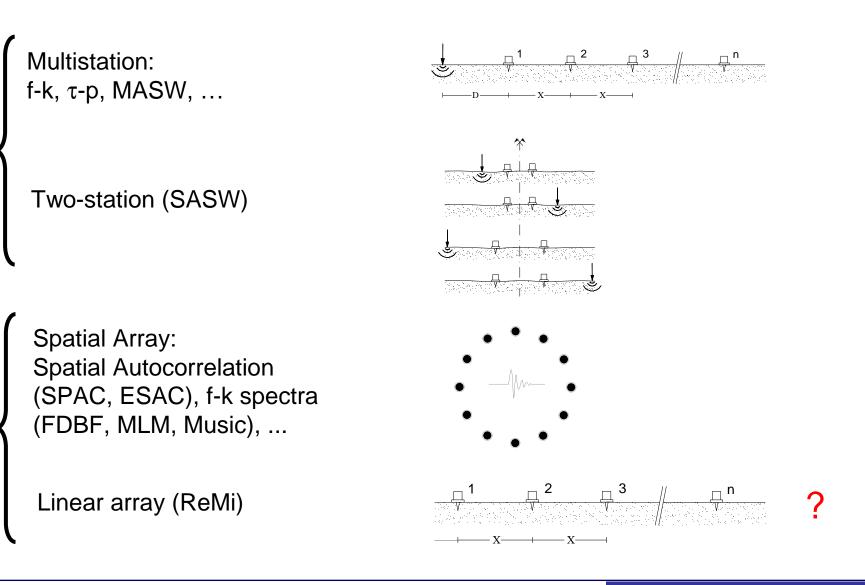




SWM techniques for near surface characterization

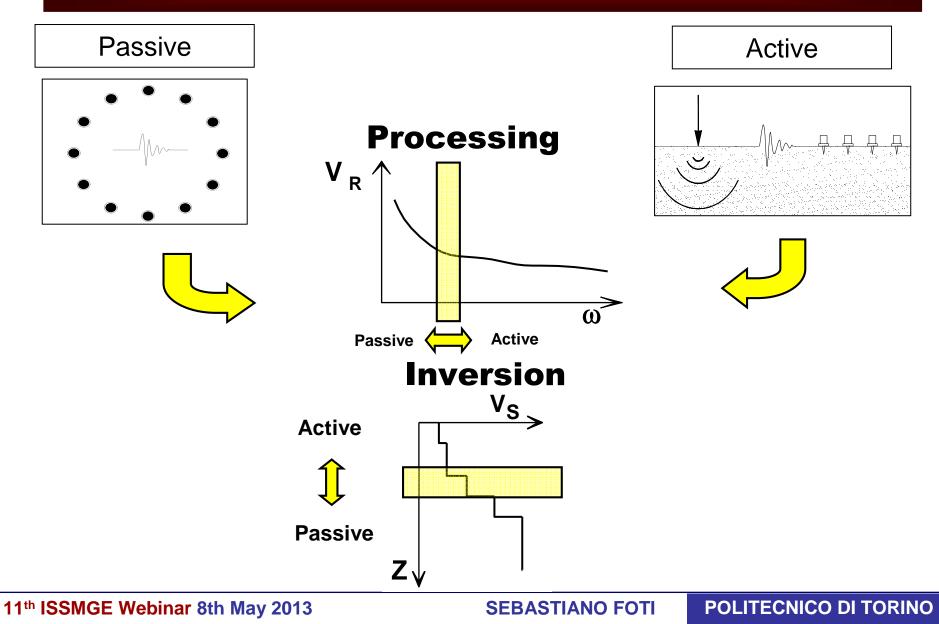
Active methods

Passive methods

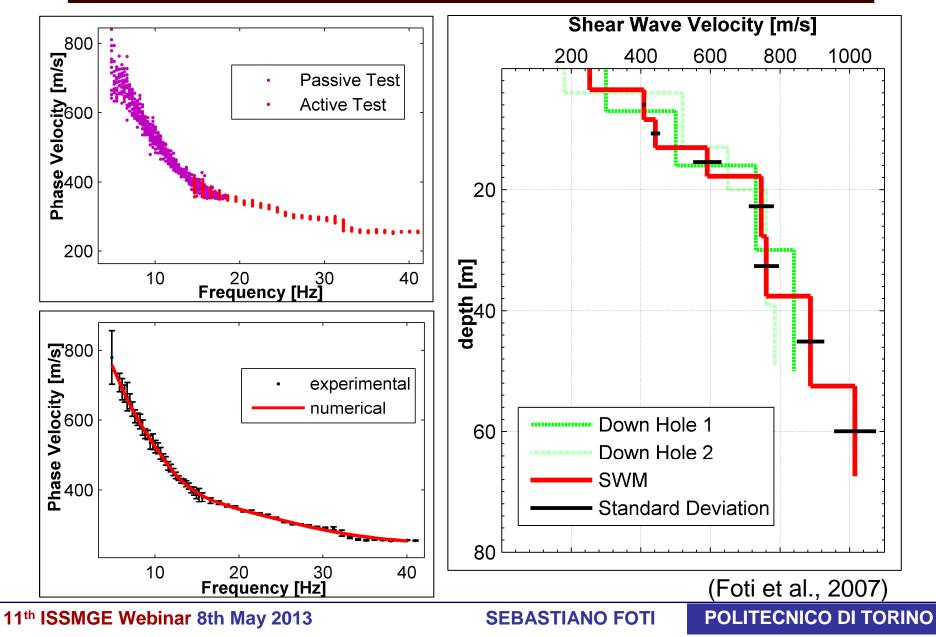


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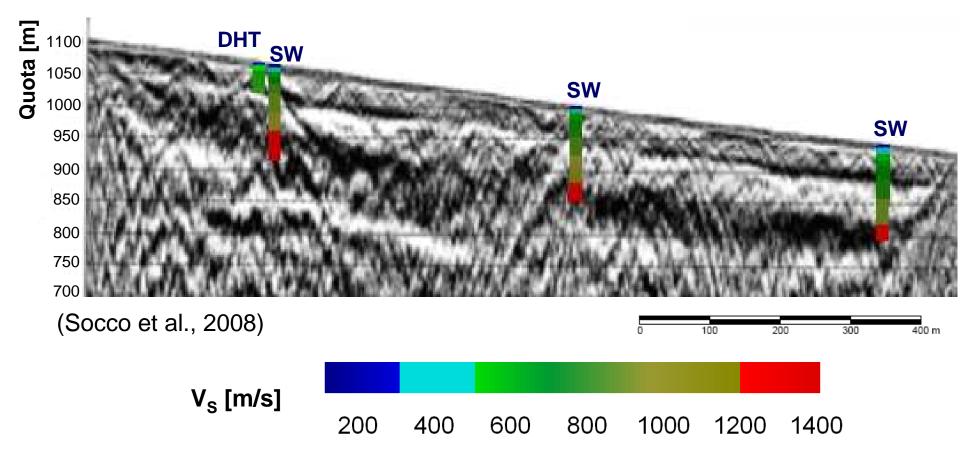
Active+Passive - SW Tests



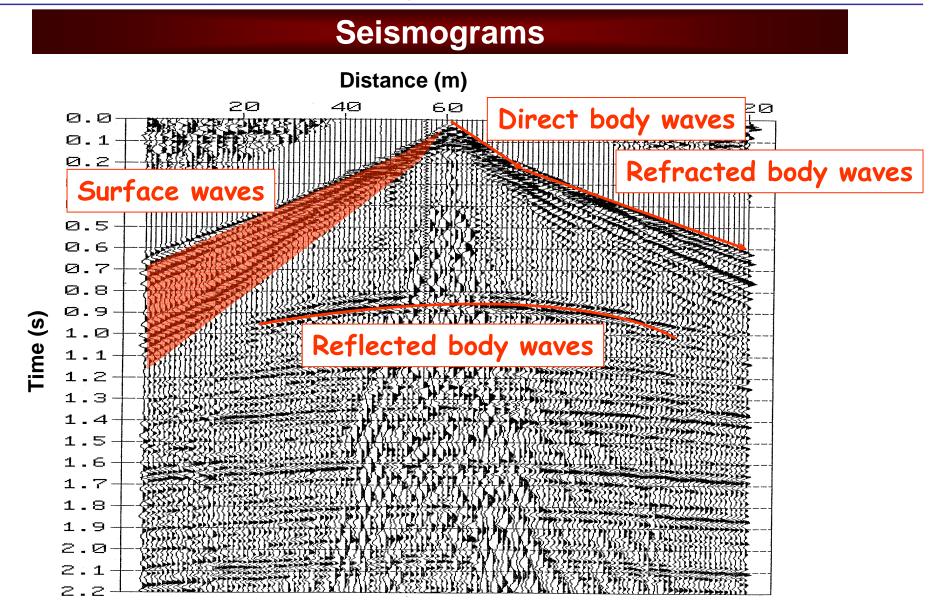
Example: La Salle (site E)



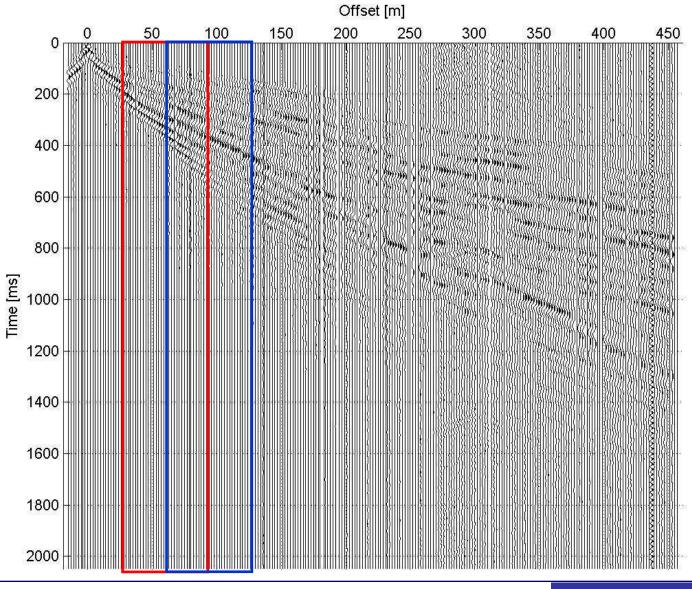
Seismic reflection vs. SWM (A+P)



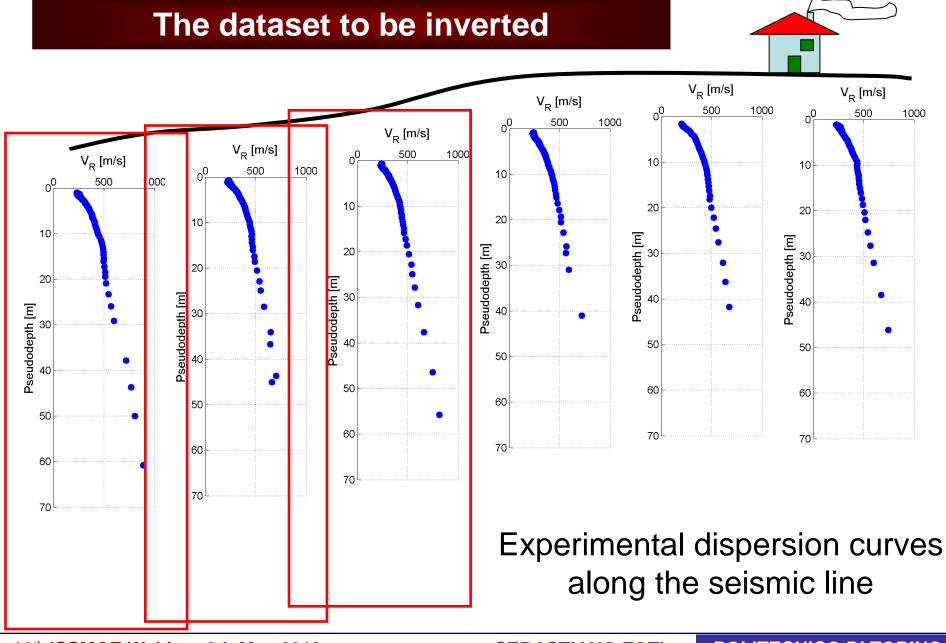
Surface waves confirm that second reflection is the bedrock.



Seismic Dataset for reflection line #1



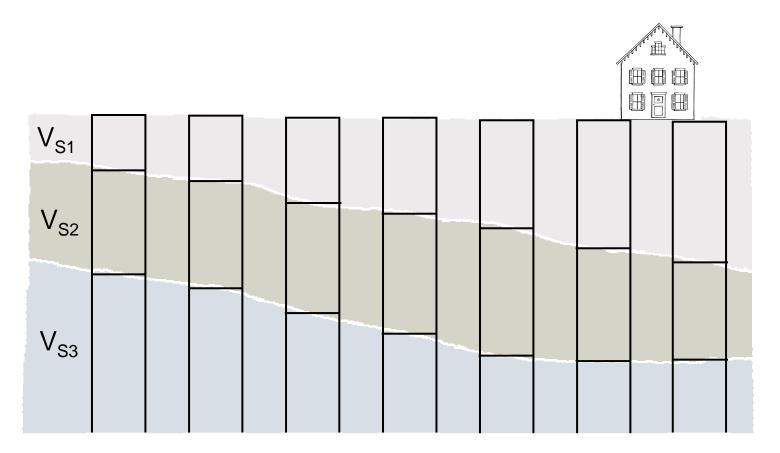
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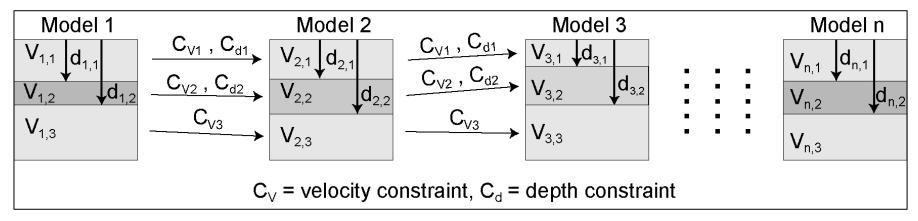
Pseudo-2D (3D)

Local approximation of submerged structure with 1D profiles



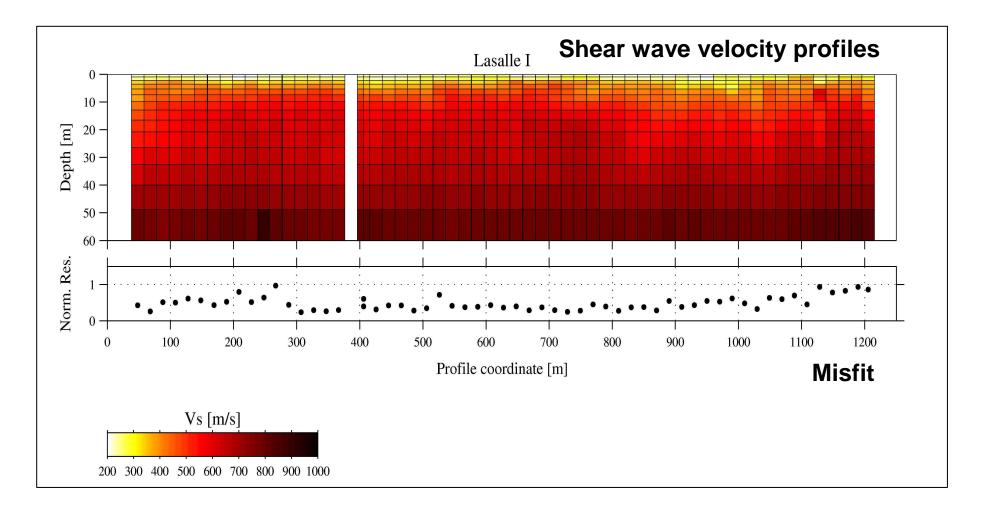
The Laterally Constrained Inversion

[Auken and Christiansen, 2004]; [Wisén and Christiansen, 2005]



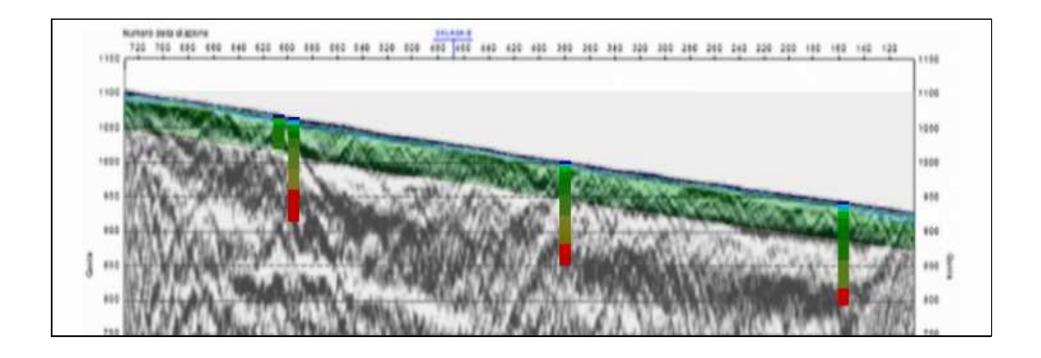
- Full model built up of a number of 1D shear wave velocity models, model parameters are shear wave velocities and depths;
- Lateral constraints couple the different 1D-models. The constraints consist of the spatially dependent covariance between the model parameters
- ... and can be considered as a priori information on the geological variation in the area;
- LCI allows for smooth transitions in model parameters along the profile;
- All data are inverted simultaneously as one system

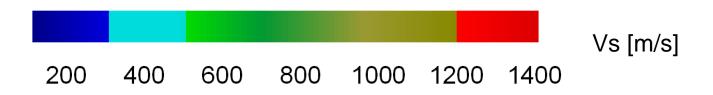
LINE 1 – shear wave velocity model from groundroll



(Socco, Boiero, Comina, Wisen, Foti 2008)

Data Integration – Vs model and seismic reflection





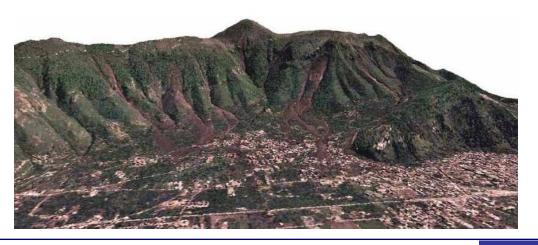
Case history #3

Investigation of volcanoclastic slopes

- Combination of several in situ geophysical tests to increase the reliability of the results
- Combination of laboratory and in situ testing for the assessment of saturation conditions

Flowslides of 1998 in Campania

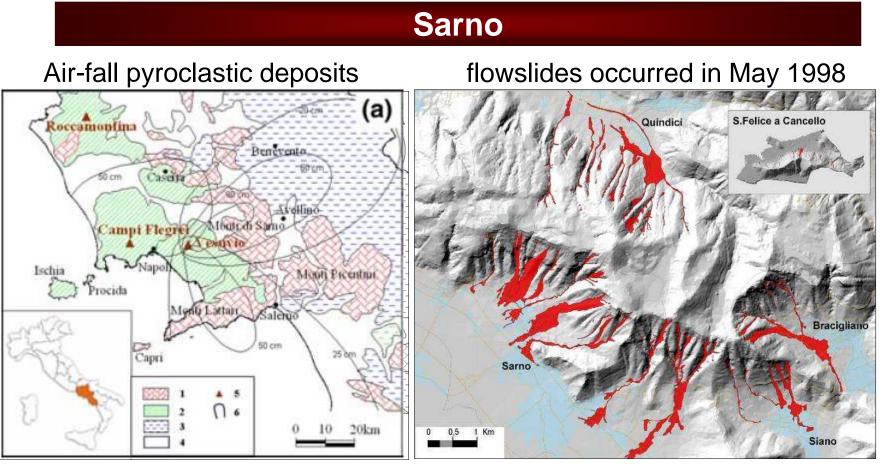




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POLITECNICO DI TORINO



(Cascini et al., 2008)

(Cascini et al., 2008)

Cover soils formed by volcanic ashes from the Vesuvio (few meters thick) over a carbonatic bedrock

Site characterization

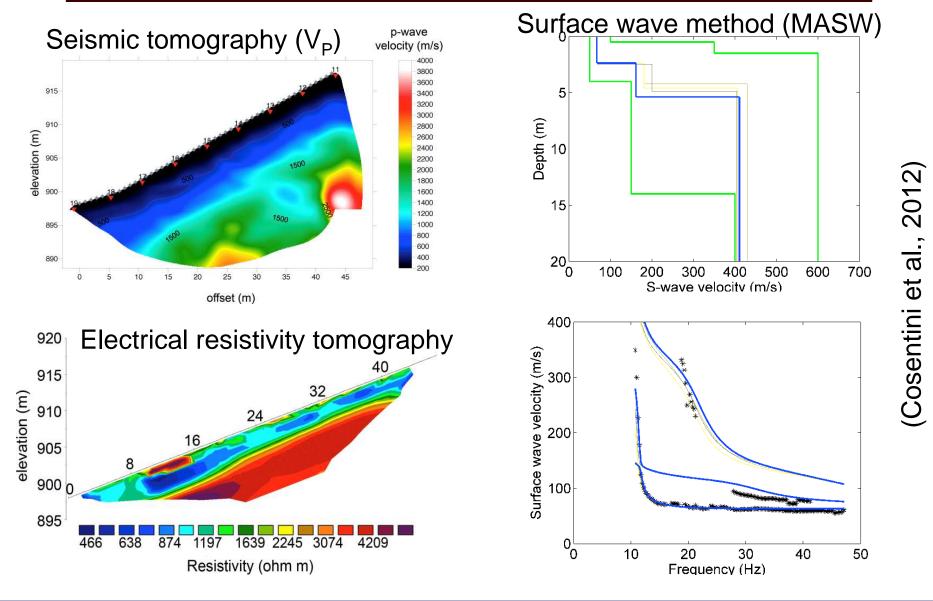
Objectives

- Quantification of potential volume of the flow (for the design of mitigation infrastructures): thickness of the soil cover
- Prevision of onset of the flowslide: assessment and monitoring of saturation condition of the soil cover

Critical issues

- Very difficult site logistics with steep and vegetated slopes poses strong limitations in the use of conventional site tests (boreholes and penetration testing)
- Necessity of investigating large areas

Combination of different geophysical approaches



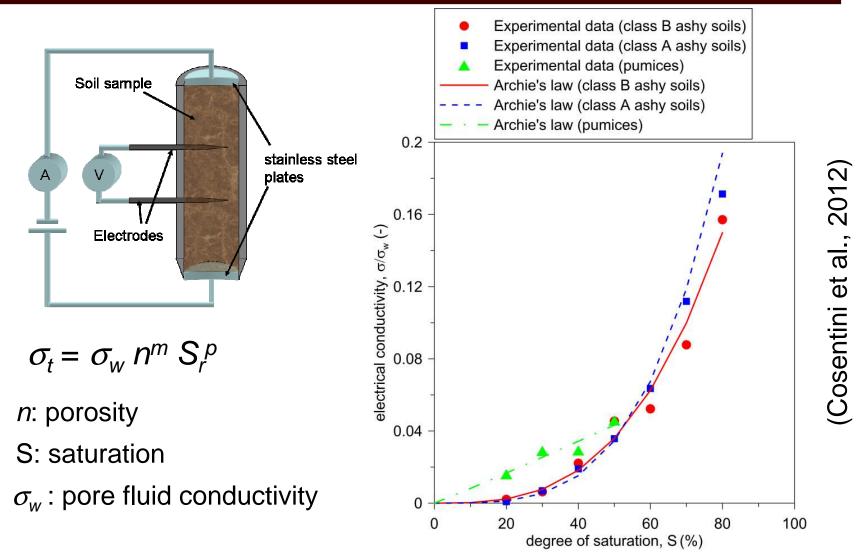
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Comments

- Electical and seismic (V_P) tomography show that the assumption of a layered medium in MASW is reasonable
- Inversion of MASW shows the relevance of higher modes at this site: surface wave analysis is not a simple and straightforward task
- The estimated thickness of the cover material is comparable with different methods

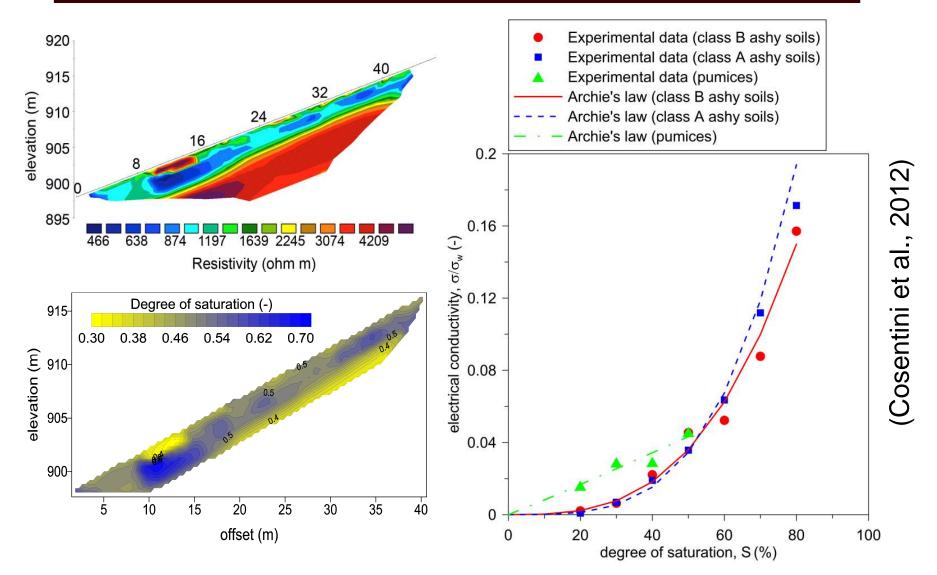
Laboratory calibration of Archie's law for unsat materials



The two exponet *m* and *p* are found by fitting laboratory data

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Mapping resistivity into degree of saturation



Closing Remarks

- Geophysical test provide useful tools for geotechnical site characterization
 - evaluation of geometrical boundaries to model subsoil conditions (e.g. stratigraphy but also physical inclusions or hydrogeological features);
 - evaluation of physical/mechanical parameters of direct use for geotechnical modeling.
- $V_S \rightarrow G_0$; sample quality
- $V_P \rightarrow$ saturation; porosity (+ $M_0 \rightarrow v$ for dry soils)
- Surface wave methods are cost and time effective but their interpretation is not simple

Closing remarks

- Importance of choosing the right technique for the specific application
- Integration of different techniques reduces uncertainties
- Laboratory experimental can provide a framework and calibration for quantitative interpretation of field tests

Thank you for your attention

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Additional material available at

www.soilmech.polito.it/download

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