

Webinar Texas A&M University Construction, Geotech and Structures Division February the 10th 2023



Politecnico di Torino

Department of Structural, Geotechnical and Building Engineering

Dealing with uncertainties in seismic ground response analyses

email: sebastiano.foti@polito.it www.soilmech.polito.it/people/foti_sebastiano Sebastiano Foti



Mauro Aimar



Andrea Ciancimino Federico Passeri



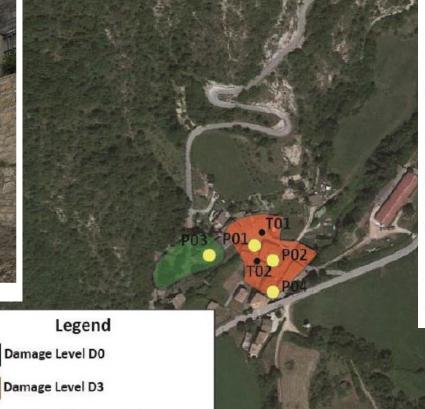
Outline

- Introduction: Seismic Ground Response
- Uncertainties in seismic site response
 - NL vs EL GRA
 - Shear wave velocity models: randomization
 - MRD curves: reliability of empirical models
 - Small-strain damping: in situ tests
- Case Study: Roccafluvione site (Italy)
- Final Remarks

Evidence of site effects: Central Italy Eqs 2016



Pieve Torrina: Fiume hamlet



Location of representative pictures Location of noise measurements



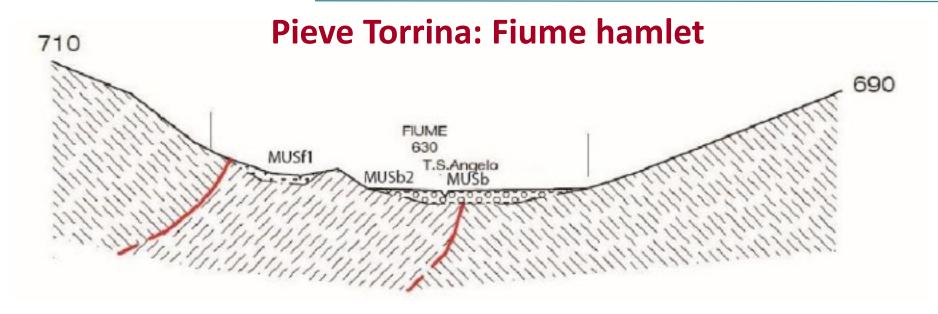
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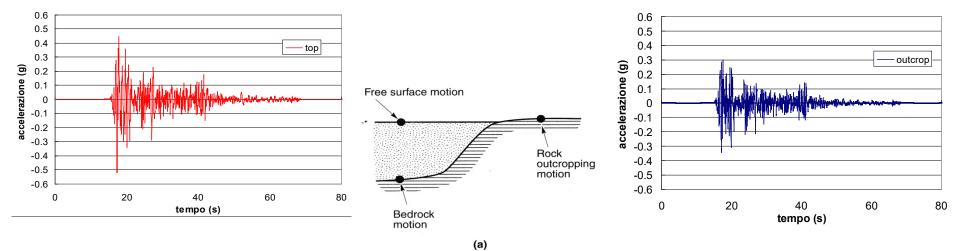
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Dealing with uncertainties in seismic ground response analyses - Sebastiano Foti

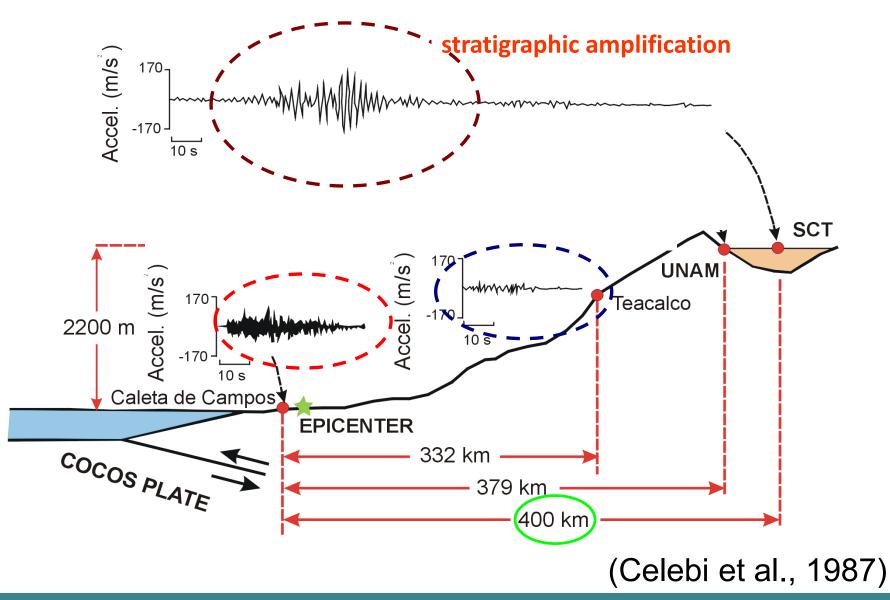


Stratigraphic amplification (response of the soil deposit)



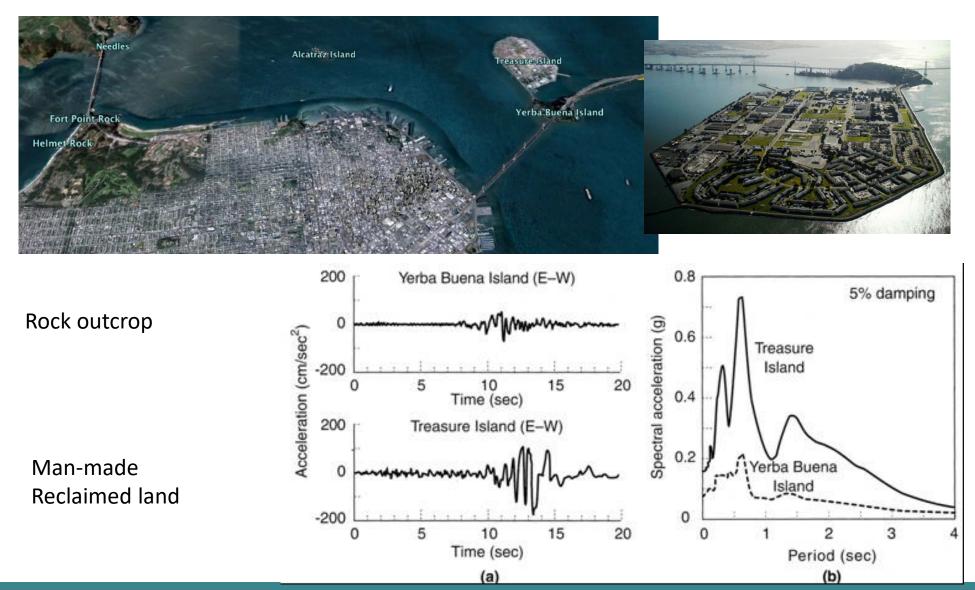
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Mexico City EQ 1985 (M8.1)



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San Francisco Bay: Loma Prieta EQ 1989

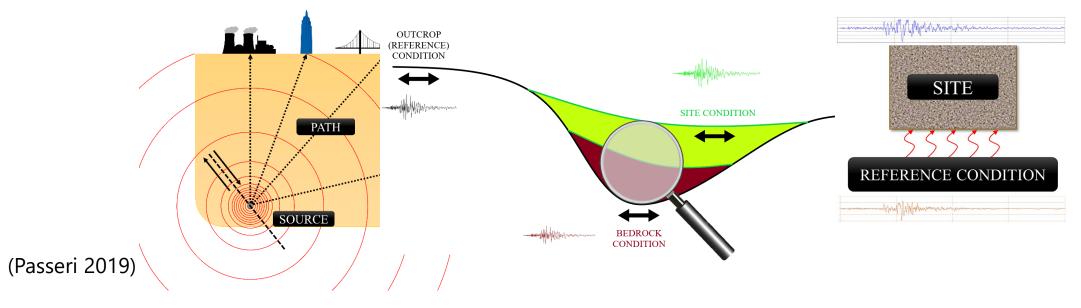


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Seismic ground response analyses (GRAs)

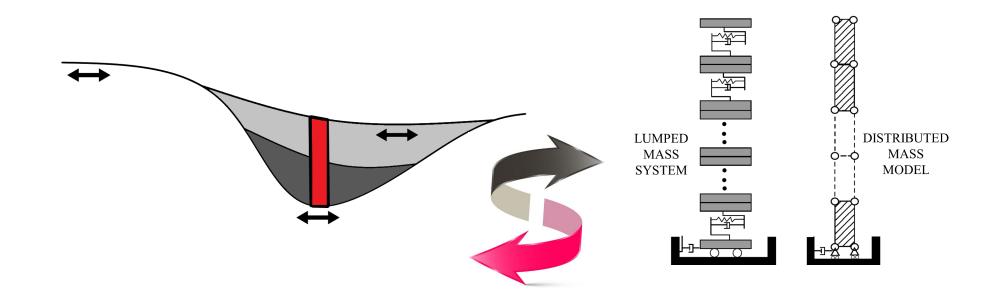
A seismic hazard study accounts for all the complex factors that control the expected ground motion at a site. These are generally grouped into the **source**, **path**, and **site** effects

Seismic hazard for the reference condition (rock outcrop)

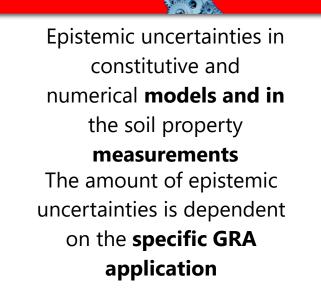


Seismic ground response analyses (GRAs)

1D numerical simulations (termed **<u>GRAs</u>**) can estimate the **mean** amplification function for a site



Seismic ground response analyses (GRAs)

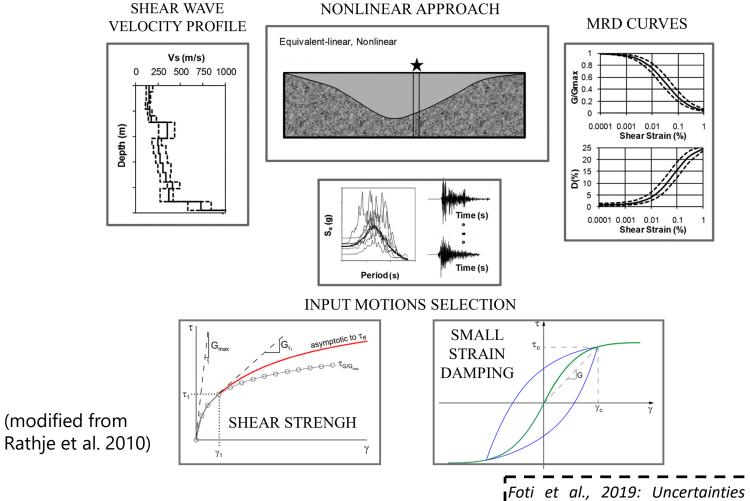




Aleatory variability (i.e., soil heterogeneity, **spatial variability**) of the soil properties

The amount of the identified aleatory variability depends on the **size** of the studied area and its **geological complexity**

(Kwok et al. 2007)

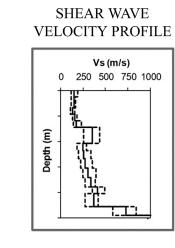


Foti et al., 2019: Uncertainties and variabilities in seismic ground Iresponse analyses. Proc. of 7 IICEGE Rome, Italy



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NONLINEAR APPROACH

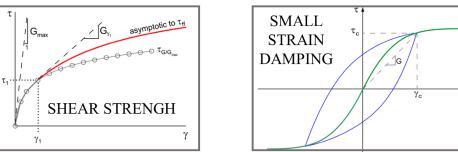
Equivalent-linear, Nonlinear

MRD CURVES

- The model choice (1D, 2D, 3D) has to be in line with the desired accuracy of results. The uncertainties are dramatically amplified in multidimensional simulations and difficult to quantify
- The 1D structure assumption may be not realistic, but more complex geometries requires broader and more detailed site characterization, adequate data interpretation, and time consuming 2-3D numerical simulations

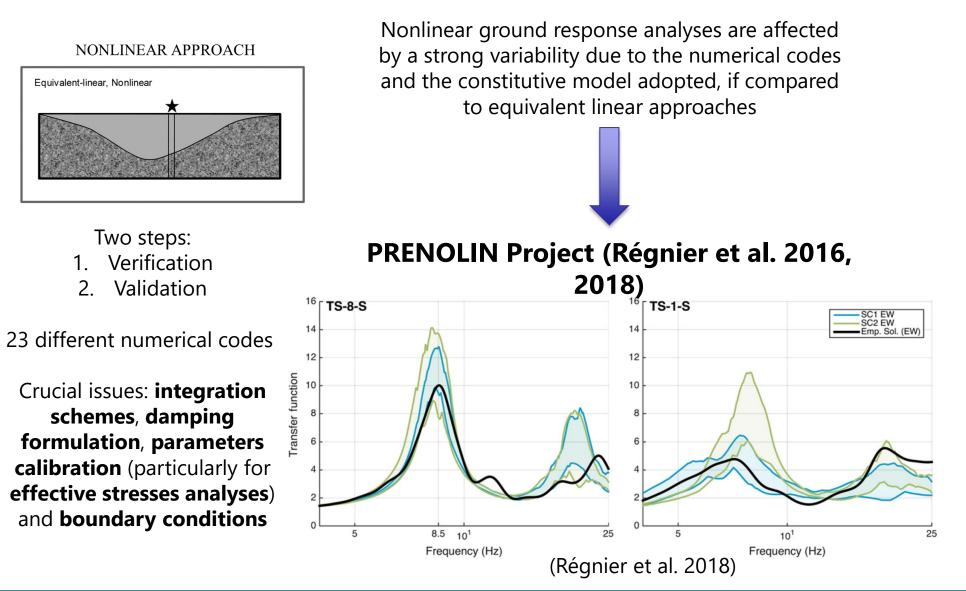


renou(s)



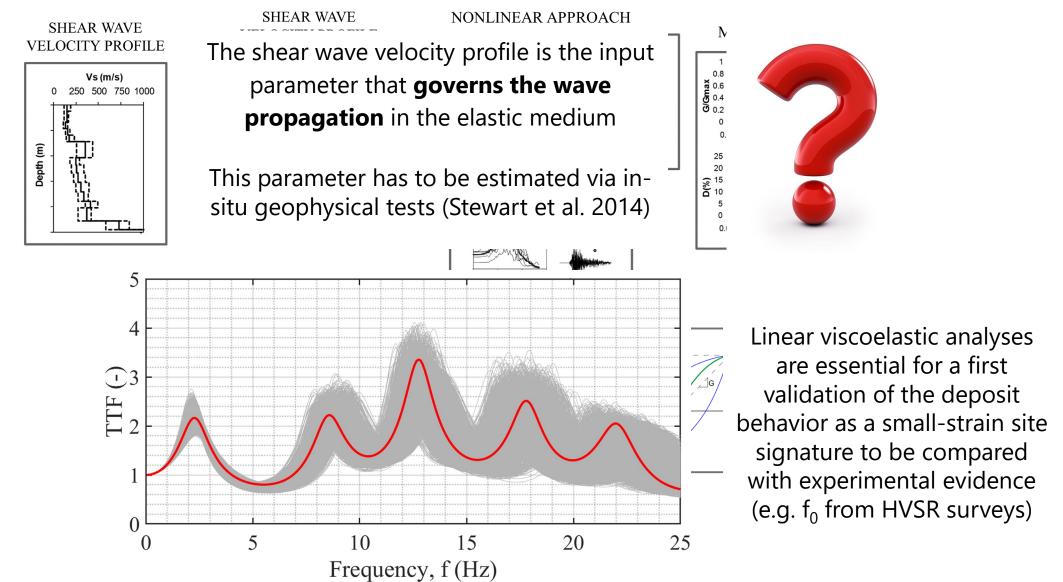
It is almost impossible to a-priori determine the most influent source of uncertainty in the final result

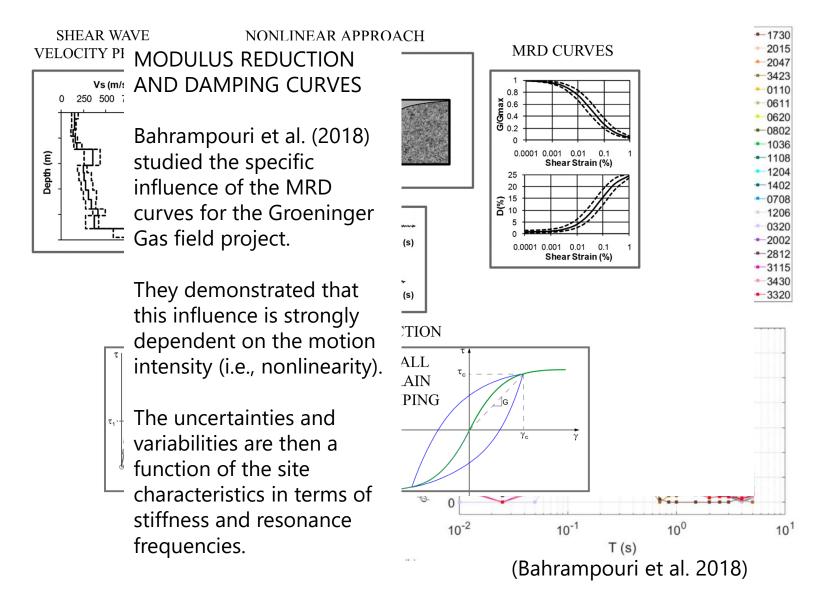




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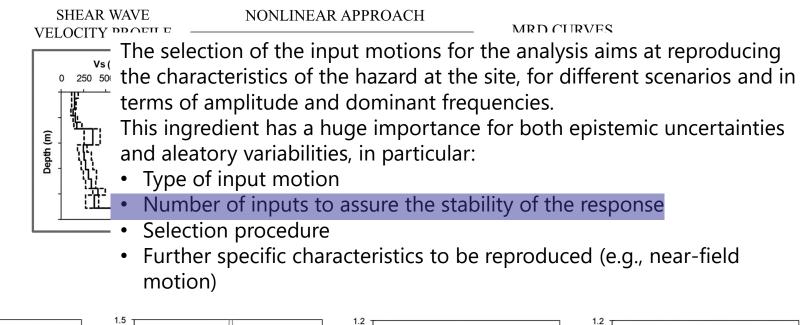
Uncertainties in Seismic Site Response analyses

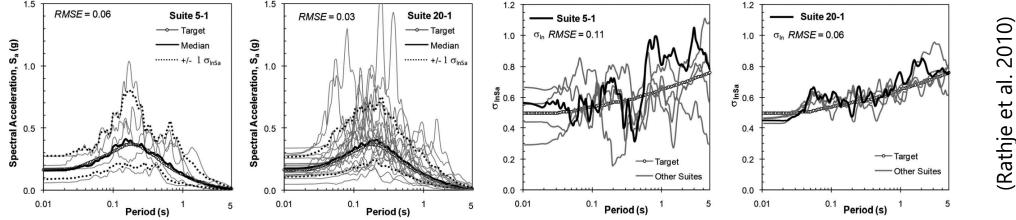


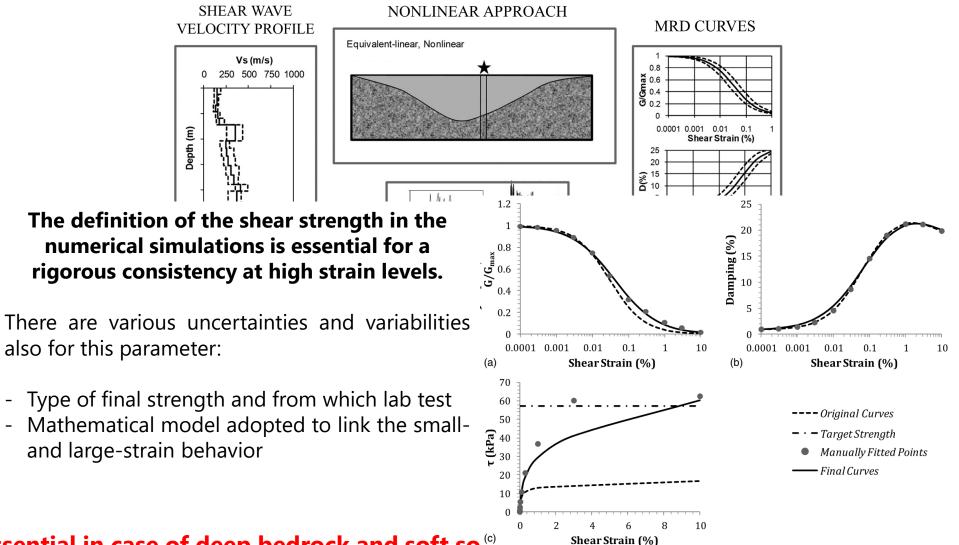


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(Zalachoris and Rathje 2015)

Essential in case of deep bedrock and soft so ^(c)

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D CURVES

0.001 0.01

0.1 Shear Strain (%)

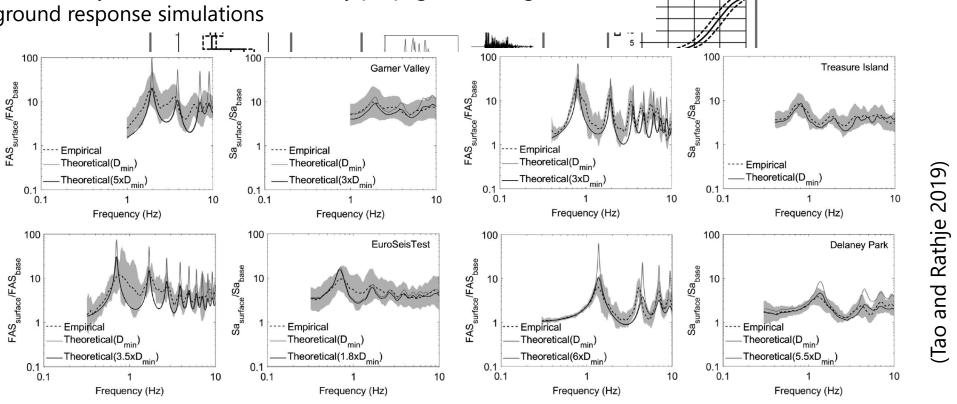
Uncertainties in Seismic Site Response analyses

Small-strains damping obtained in the lab not always comparable with the one measured or back-estimated in-situ.

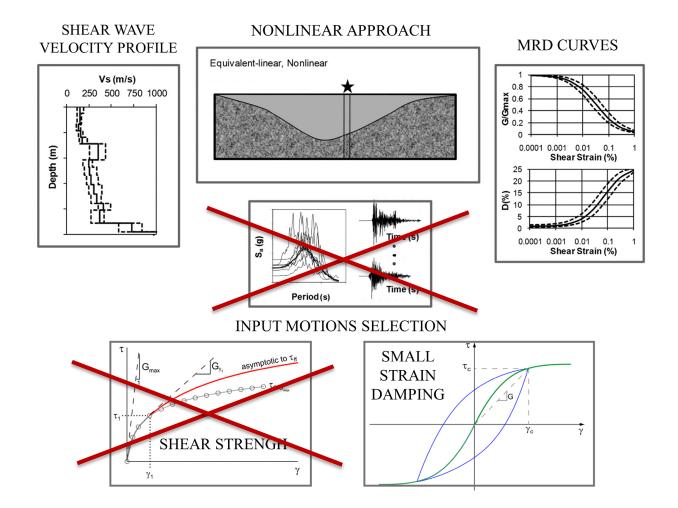
The wave propagation phenomenon produces complex dissipation mechanisms (e.g., wave scattering) (Afshari and Stewart, 2019).

Back-analyses give **D**_{min} = **1x to 6x D**_{min,lab}

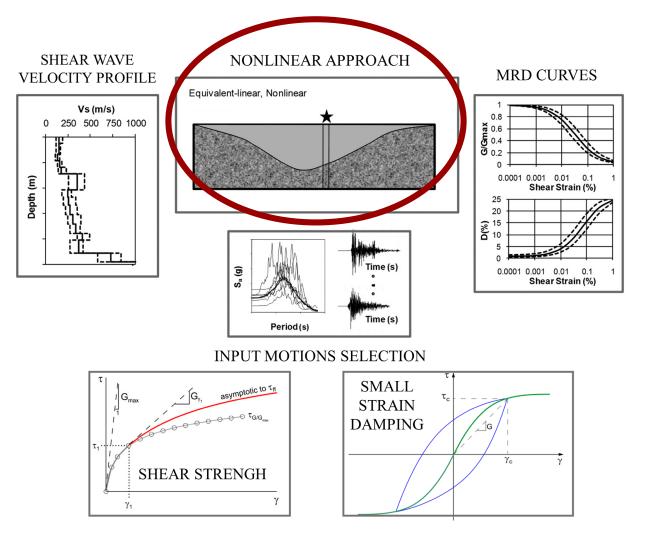
It is not fully clear how this uncertainty propagates through the ground response simulations



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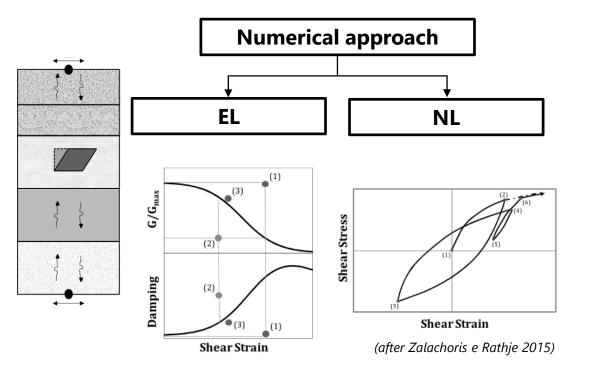


Uncertainties in Seismic Site Response analyses



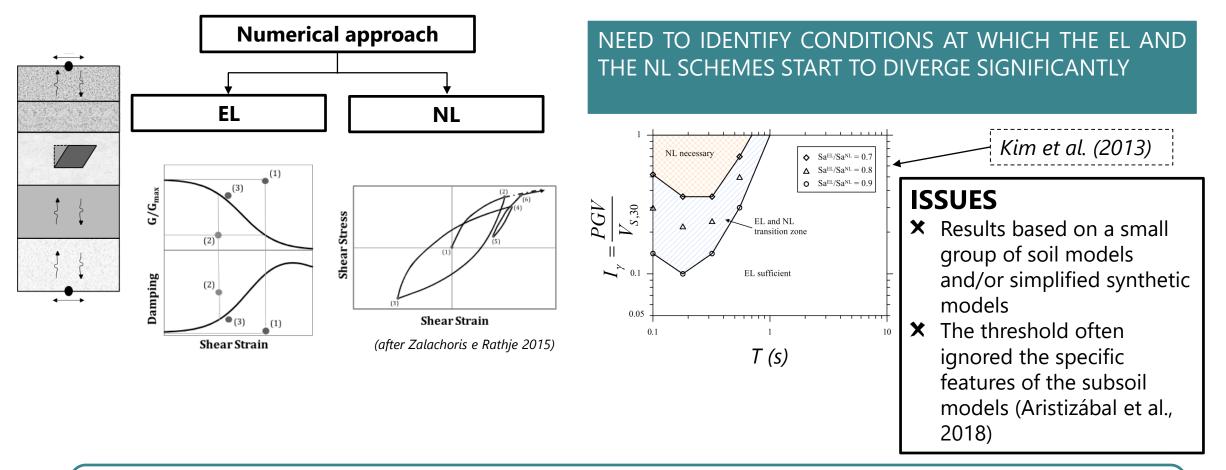
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NL vs EL GRAs: GRAs uncertainties



Aimar & Foti, 2021, Simplified criteria to select ground response analysis methods for seismic building design: Equivalent linear versus nonlinear approaches, BSSA

NL vs EL GRAs: GRAs uncertainties



Goal: derive **simplified and rigorous criteria** to predict when EL-NL approaches provide significantly different results

Aimar & Foti, 2021

Generation of the database: numerical simulations



Selection of input motions:

 \rightarrow 35 acceleration time histories

10'150 representative soil models

35 (+ 7 high-intensity) acceleration time histories

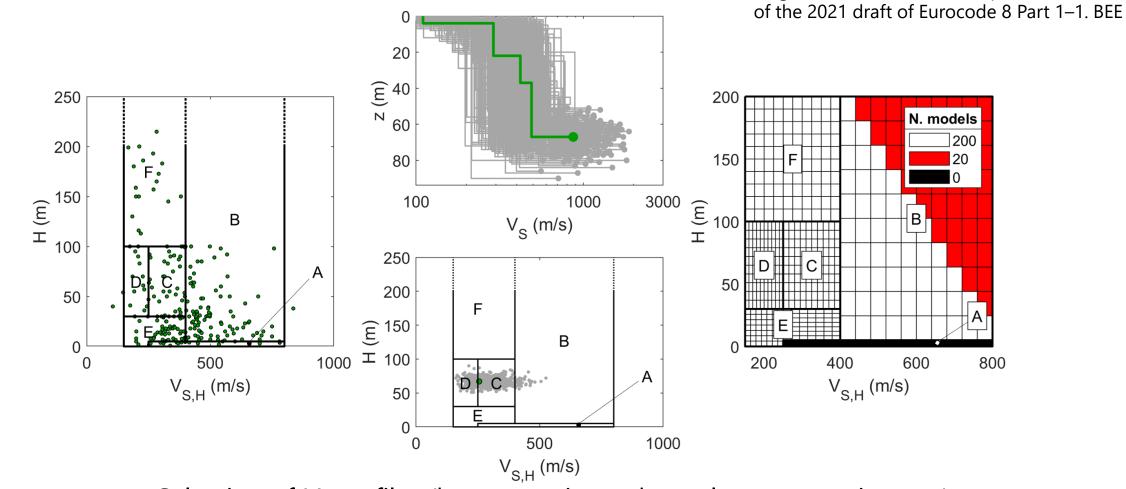
EL GRAs (SHAKE91; Sun and Idriss, 1991) + NL GRAs (DEEPSOIL v7,0; Hashash et al., 2017)

NL vs EL GRAs

Aimar & Foti, 2021

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Generation of the database: V_s profiles

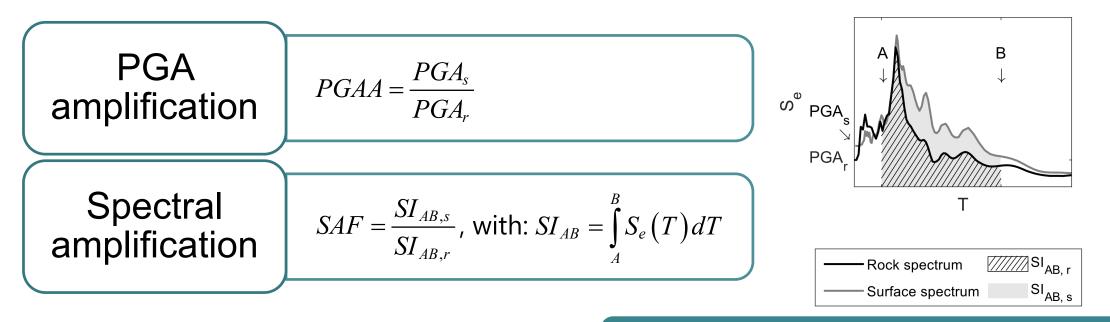


Selection of V_s profiles (homogeneity and equal representativeness)

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Paolucci et al., 2021, Checking the site categorization criteria and amplification factors

Amplification parameters



NL vs EL GRAs

PGAA

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- **SPSA**: $T = 0.1 0.5 \text{ s} \rightarrow Short buildings$
- **IPSA**: $T = 0.4 0.8 \text{ s} \rightarrow Intermediate buildings$
- **LPSA**: $T = 0.7 1.1 \text{ s} \rightarrow Tall buildings studies$

Aimar & Foti, 2021

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 \rightarrow Simpl. geotechnical studies

NL vs EL GRAs: Inter-method differences

Quantification of differences

$$\delta_X = \ln \frac{X_{EL}}{X_{NL}}$$

Where X is PGAA, SPSA, IPSA or LPSA.

NOTE: $\delta_X > 0 \rightarrow EL \ll NL$

Criterion of assessment of differences

$$\delta < \delta^{max}$$
: $\delta_X^{\mu \pm \sigma} < \sigma_{\ln X}^E$

$$\delta_X^{\mu\pm\sigma} = \max\left(\left|\mu_{\delta,X}\pm\sigma_{\delta,X}\right|\right)$$

Representative value of δ_{χ} , accounting for its statistical distribution

Standard deviation of the amplification parameter, from GMPEs (Aimar et al., 2021)

Aimar & Foti, 2021

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NL vs EL GRAs: Inter-method differences

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Representative value of $\delta_{\chi_{\prime}}$ accounting for its statistical distribution

Standard deviation of the amplification parameter, from GMPEs (Aimar et al., 2021)



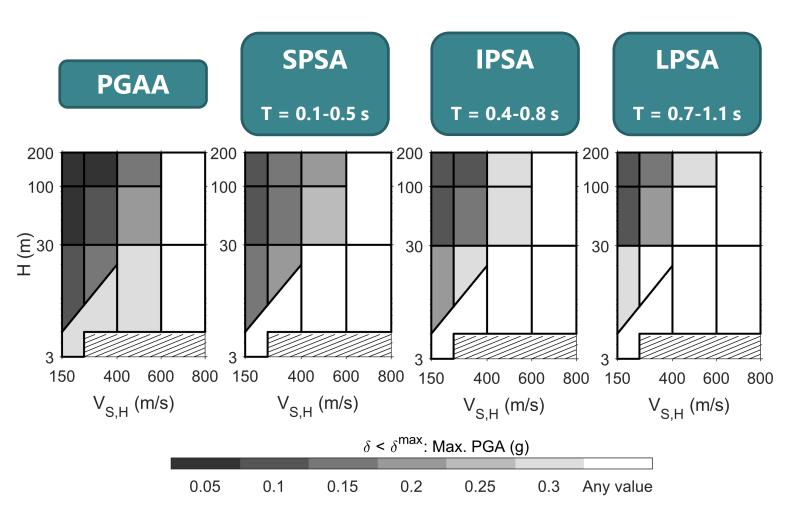
The criterion takes into account the variability of EL-NL differences and it assumes that the **differences are negligible when they are small compared to the variability typical of the seismic amplification (as for GMPEs)**

+

The assessment of differences considers the **influence of both soil model** conditions (i.e., $V_{S,H}$ and H) and input motion characteristics

Aimar & Foti, 2021 POLITECNICO DI TORINO

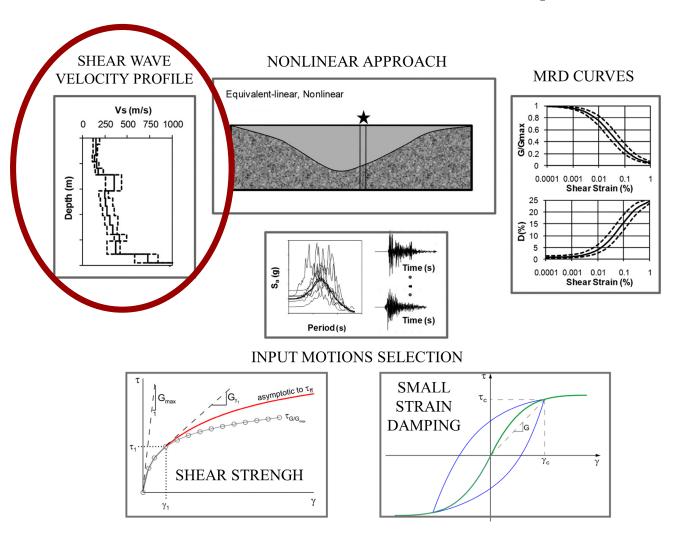
NL vs EL GRAs: Simplified criteria



- The entity of differences depends on the investigated vibration period
- EL e NL compatible for H < 30 m and for PGA up to 0,15g, even at higher PGAs at long periods.

Aimar & Foti, 2021

Uncertainties in Seismic Site Response analyses



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The shear wave velocity (V_s)

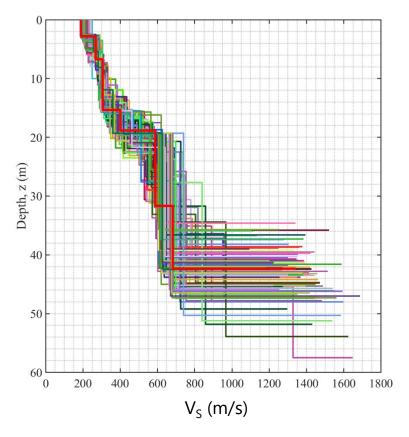
Geostatistical techniques rely on statistical models that are based on **random field** theory to model the uncertainty associated with **spatial estimation**

Definition of the statistical model able to **reproduce** the experimental uncentainties and variabilities \rightarrow statistical sample of V_s to be used in GRAs

Randomization

Performance of Hazard-Consistent GRAs for Ground Motion Prediction and rigorous Site-Specific PSHAs

→ probabilistic modeling of site effects



Case study: Mirandola (Italy)

Emilia

2012





- Multiple V_s profiles from surface wave and invasive methods are available
- The participants of the project analyzed a set of common surface waves data. Both active and passive data were collected close to the boreholes
- Several participants also performed and interpreted **invasive** measurements. Several companies **repeated** measurements in order to assess **repeatability** with different acquisition strategies and equipment
- Results of the blind tests in Garofalo et al., 2016 SDEE:
 - ✓ part I: surface wave tests;
 - ✓ part II: inter-comparison SWM vs invasive

Geol. Info.: Soft Soil Alluvial deposits

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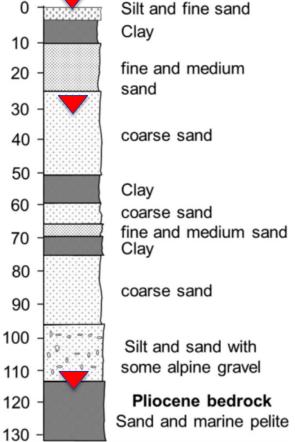
Case study: Mirandola (Italy)

Mirandola's geology mainly consists of alternating silty clays and sandy horizons till 100 m depth, where the pliocene bedrock is approximately located.

Additional independent information at the site:

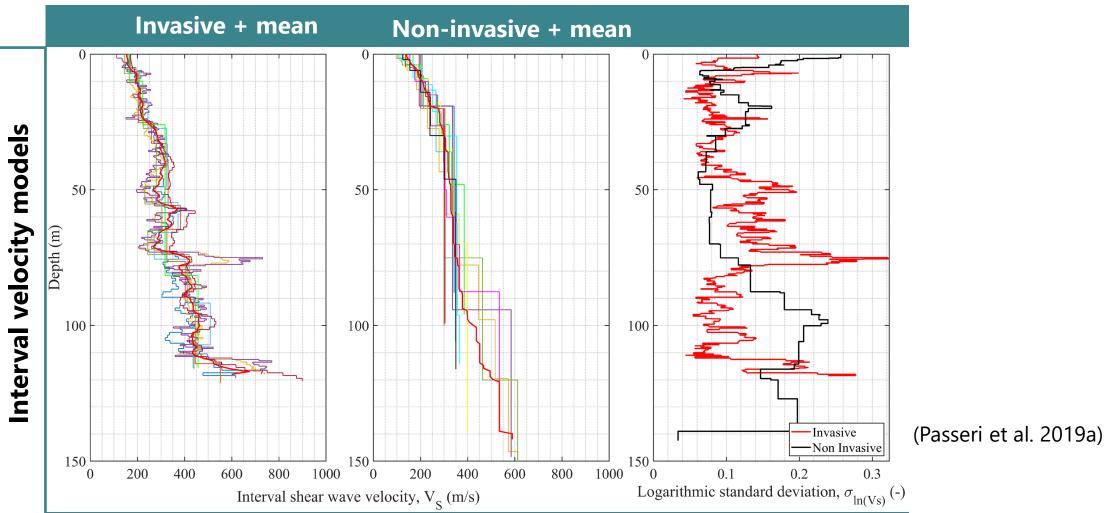
- Experimental Transfer Function (ETF) from a permanent down-hole array (Laurenzano et al., 2017)
- f₀ from HVSR (Tarabusi et al., 2018)





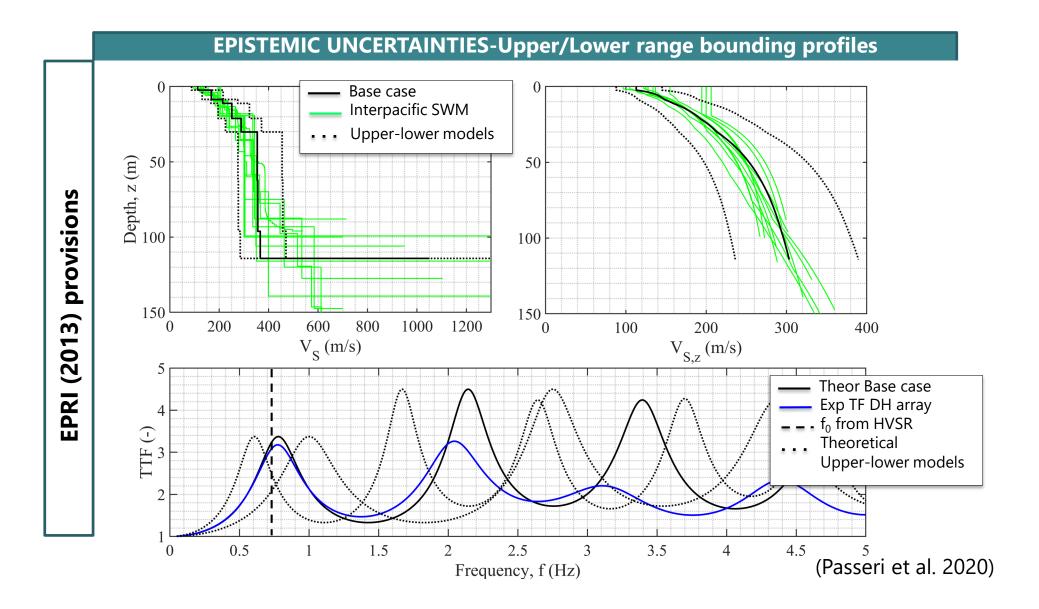
Depth [m]

(Garofalo et al. 2016, SDEE)

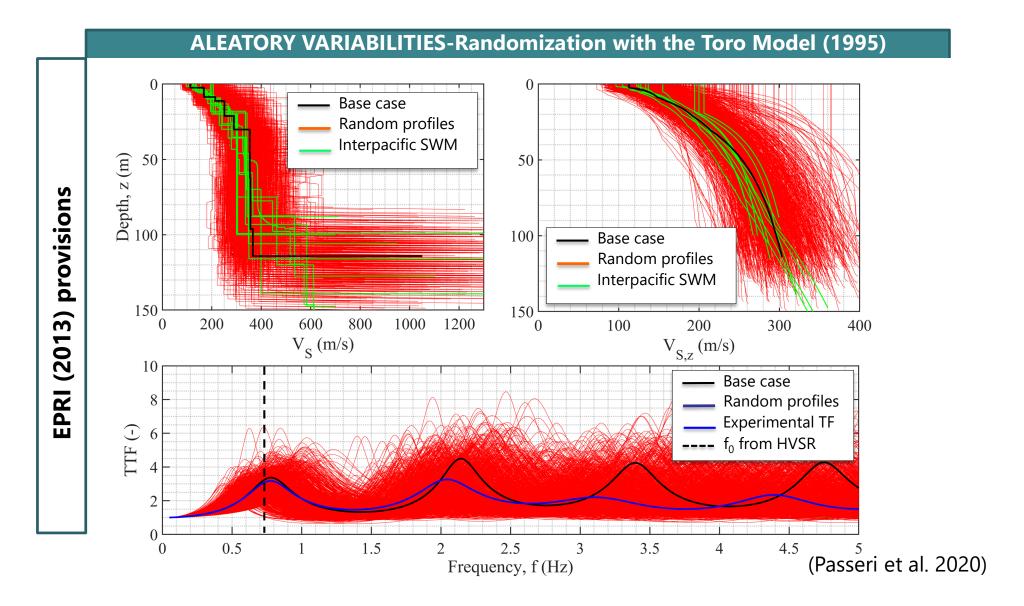


V_S profiles from Interpacific Blind test

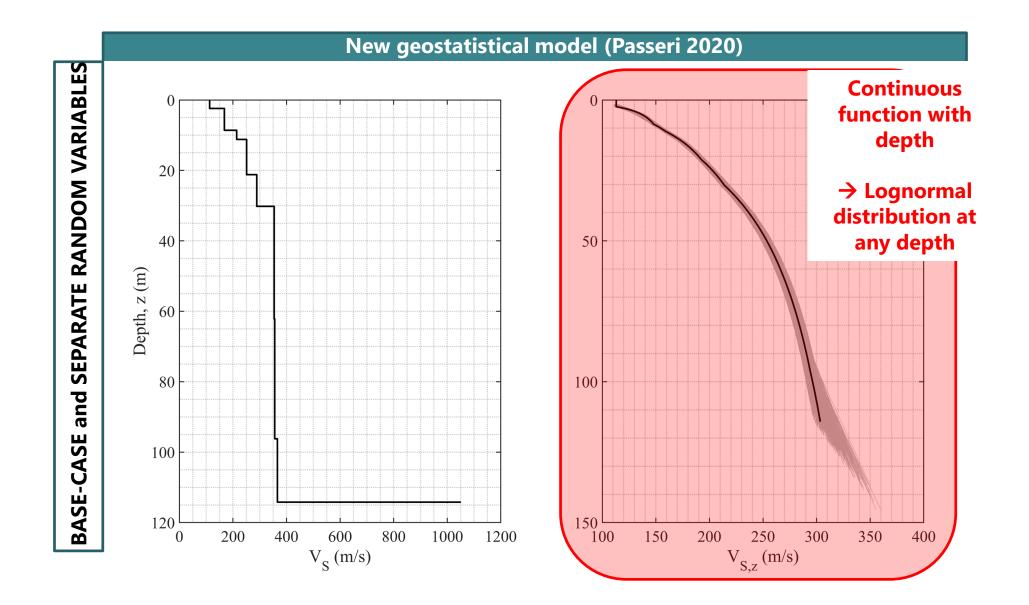
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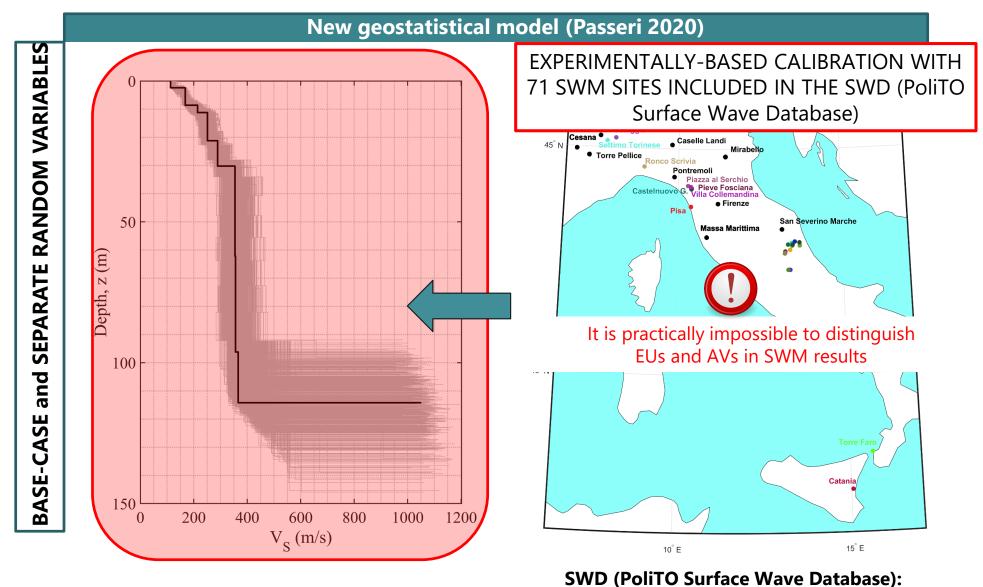
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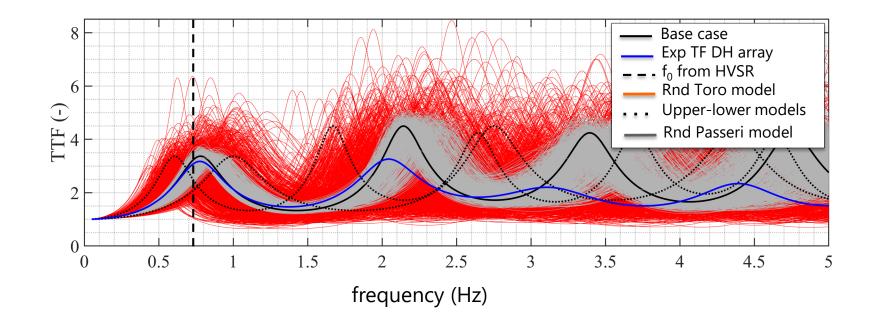
Case study: Mirandola (Italy)



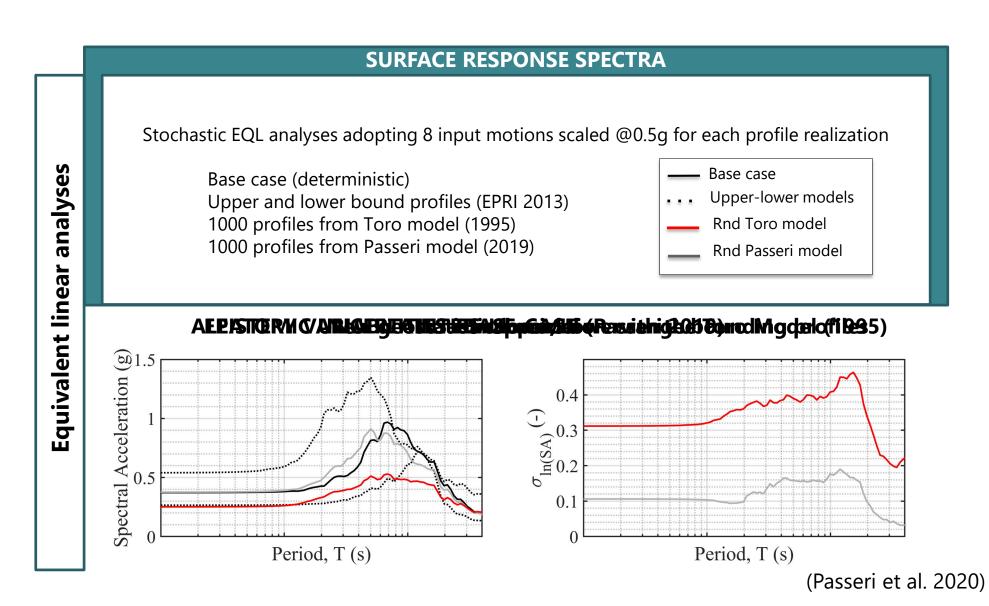
Passeri et al., 2021 BEE

Case study: Mirandola (Italy)

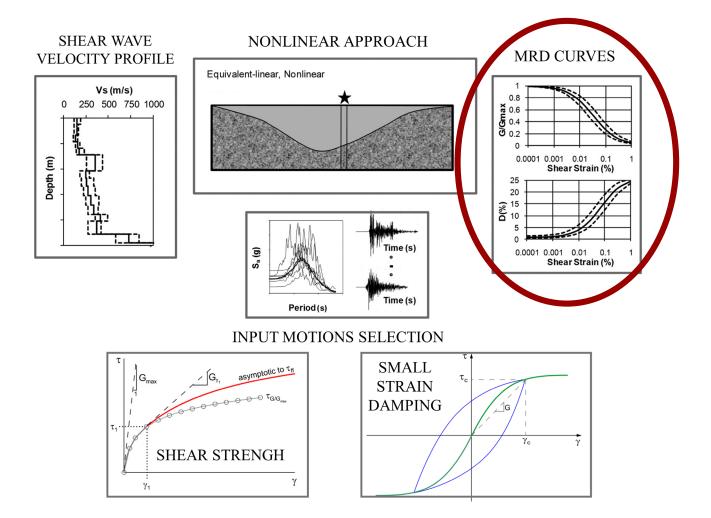
The random profiles generated with the new geostatistical model (Passeri, 2020) honor the whole set of independent experimental data available at Mirandola site



(Passeri et al. 2020)



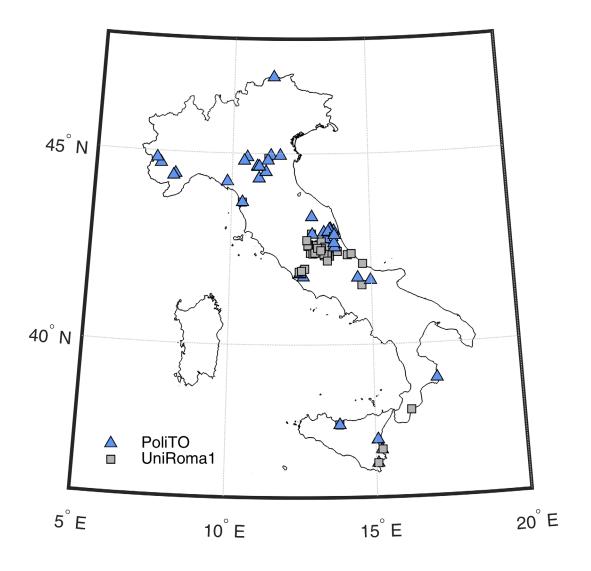
Uncertainties in Seismic Site Response analyses



Database of RC and DSDSS tests from PoliTO and UniRoma1

It includes the results of cyclic and dynamic laboratory tests performed on Italian natural soils in the past 30 years:

 252 laboratory tests: 110 RC (PoliTO) and 142 CDSDSS (UniRoma1) tests

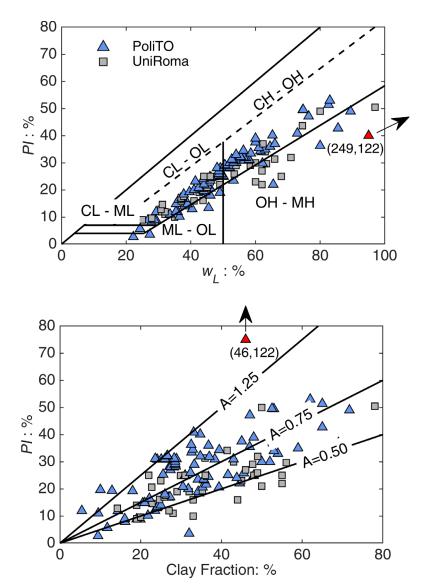


Open Access (Ciancimino et al. 2023, BEE)

Database of RC and DSDSS tests from PoliTO and UniRoma1

It includes the results of cyclic and dynamic laboratory tests performed on Italian natural soils in the past 30 years:

- 252 laboratory tests: 110 RC (PoliTO) and 142 CDSDSS (UniRoma1) tests
- Low-to-normal active clays and silts
- 0 % < PI < 60 %
- 20 kPa < *p*′ < 1100 kPa
- 7 MPa < *G*₀ < 340 MPa



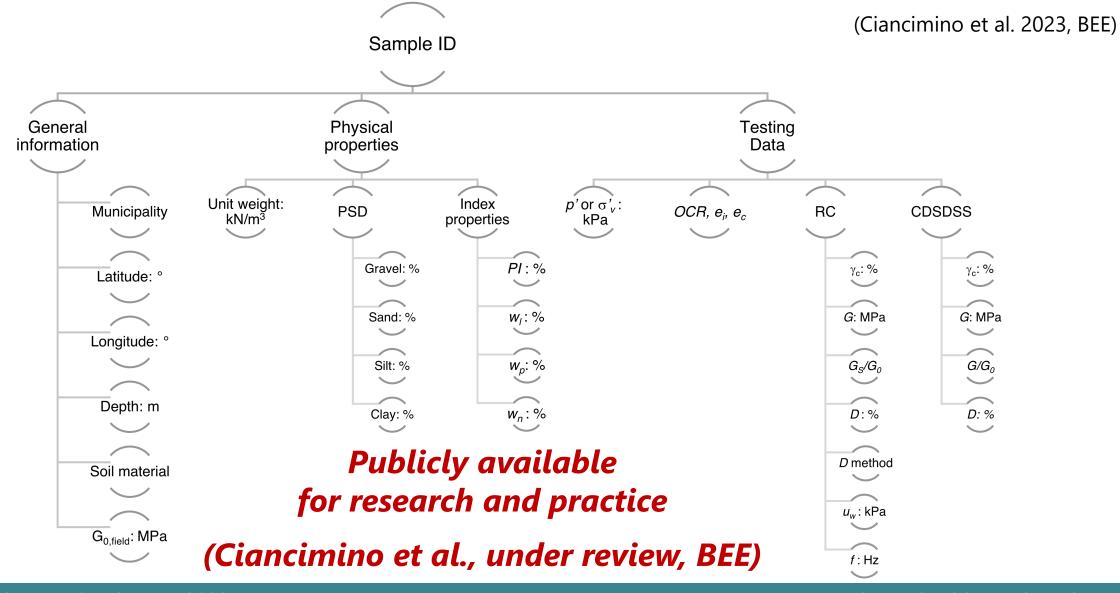
(Ciancimino et al. 2023, BEE)

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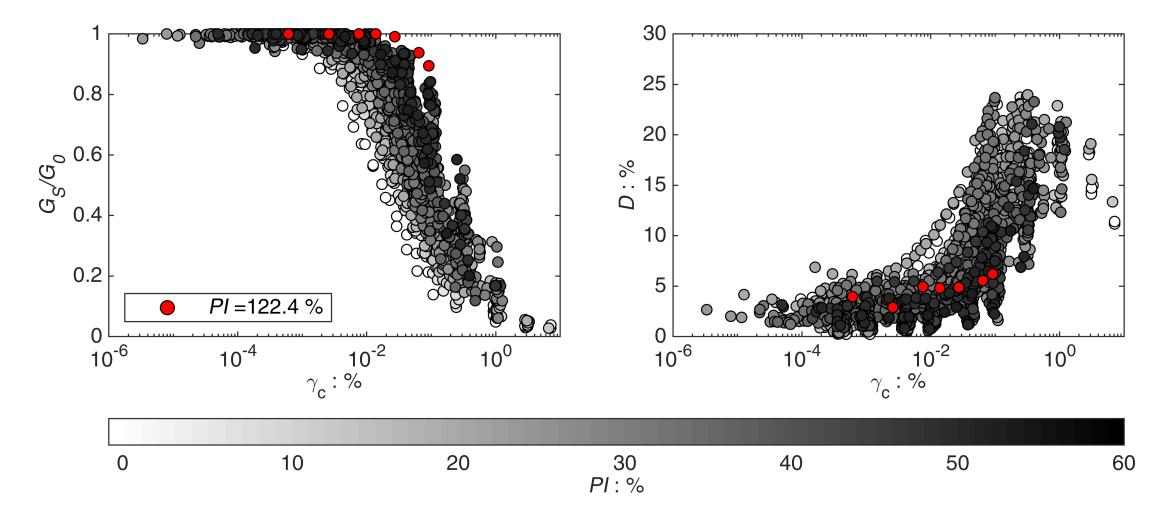
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Database of RC and DSDSS tests from PoliTO and UniRoma1



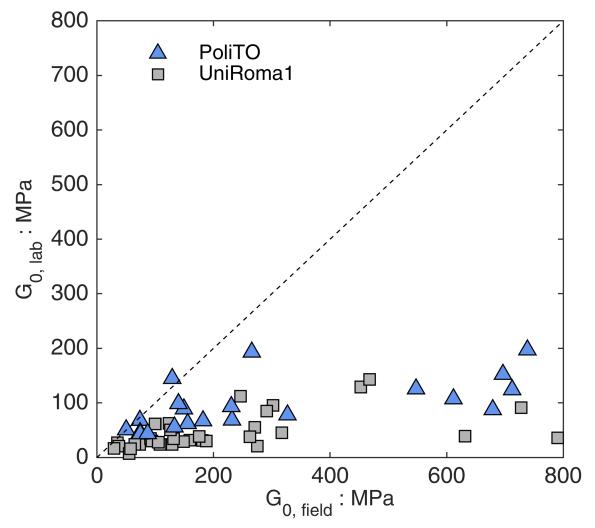
Modulus Reduction and Damping ratio curves



(Ciancimino et al. 2023, BEE)

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Sample Disturbance effects



Alteration of the original soil structure due to sampling: $V_{S, lab} < V_{S, field}$

- Stiff soils are more subjected to such an effect
- Higly deformable soils can show
 V_{S, field} > V_{S, lab} (more sensitive to p')

G₀ has to be measured on site

(Ciancimino et al. 2023, BEE)

Performance of empirical predictive models

- Vucetic and Dobry (1991)
- Darendeli (2001)
- Ciancimino et al. (2020)
- Wang and Stokoe (2022)

NB: Assessment performed on a subset of the database not used for the model calibration

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Empirical model		D_{0}	$G_s / G_0 - \gamma_c$	$D - \gamma_c$	Input parameters
Vucetic & Dobry (1991)]	Not given		Charts	1 <i>PI</i>
Darendeli (2001)*	ר		$G_s / G_0 = \frac{1}{1 + \left(\gamma_c / \gamma_r\right)^a}$	$D = b \cdot \left(G/G_0 \right)^{0.1} \cdot D_{Masing} + D_0$	5 PI, OCR,
	J	$p'^{-0.2889} \cdot (1 + 0.2919 \cdot \ln(f))$	a = 0.919 $\gamma_r = (0.0352 + 0.001 \cdot PI \cdot OCR^{0.3246}) \cdot p^{10.3483}$	$b = 0.6329 - 0.0057 \cdot \ln(N)$	p', f, N
Ciancimino et al. (2020)*	ר	$D_{0} = (1.2808 + 0.0361 \cdot PI) \cdot p'^{-0.2740} \cdot (1 + 0.1340 \cdot \ln(f))$	$G_s / G_0 = \frac{1}{1 + \left(\gamma_c / \gamma_r\right)^a}$	$D = b \cdot \left(G/G_0 \right)^{0.1} \cdot D_{Masing} + D_0$	$3 PI \leftarrow p' \leftarrow f$
Clancininio et al. (2020)	J		a = 0.9640 $\gamma_r = (0.0331 + 0.0014 \cdot PI) \cdot p^{0.1254}$	<i>b</i> = 0.5062	5 <i>PI</i> , <i>p</i> ', <i>f</i>
			$G_{s} / G_{0} = \frac{1}{\left(1 + \left(\gamma_{c} / \gamma_{mr}\right)^{a}\right)^{b}}$	$D = \frac{d \cdot (\gamma_c / \gamma_D)^c + D_0}{(\gamma_c / \gamma_D)^c + 1}$	
	Nonplastic silty sands	0.81 FC + 5.2 e	$a = (1.495 \cdot e + 3.079 \cdot FC)^{0.121}$	$c = 1.39 \cdot e^{0.27}$	6
Wang & Stokoe (2022)**	FC > 12% $PI = 0%$	$D_{0} = 52.16 \cdot (0.41 \cdot e)^{0.81 \cdot FC + 5.2 \cdot e} \cdot (1 + 5.35 \cdot FC) \cdot (p'/p_{atm})^{-0.19}$	$b = 0.486 - 0.006 \cdot p'/p_{atm}$ $\gamma_{mr} = (0.031 \cdot e - 0.003) \cdot (p'/p_{atm})^{0.405 - 0.193 \cdot FC}$	d = 12.13% $\gamma_D = 0.0025 \cdot$ $(p'/p_{atm} + 5.73 \cdot e + 9.17 \cdot FC)^{1.47 - 0.52}$	p', e, FC
	Clayey soils <i>FC</i> > 12% <i>PI</i> > 0%	$D_{0} = 4.86 \cdot (1.99 + FC)^{-1.91 \cdot e^{-6.5 \cdot PI}} \cdot (1 + 106.75 \cdot PI^{1.64}) \cdot (p'/p_{aim})^{-0.19} + (0.46 \cdot PI)^{1.73 - 1.34 \cdot e}$	$a = 0.896 + 0.412 \cdot FC + 0.534 \cdot PI$ $b = 0.586 - 0.098 \cdot e - 0.135 \cdot FC$ $\gamma_{mr} = (0.02 \cdot e - 0.004 \cdot FC) \cdot (p'/p_{atm} + 0.42 \cdot OCR)^{0.447 - 0.27 \cdot PI}$	$c = (1.91 \cdot FC)^{1.62 \cdot PI}$ d = 21.7% $\gamma_{D} = 0.11 \cdot$ $(0.12 \cdot p'/p_{atm} + 5.29 \cdot w_{n} - FC)^{1.45 - P}$	$p', e, w_n,$ FC, PI, OCR

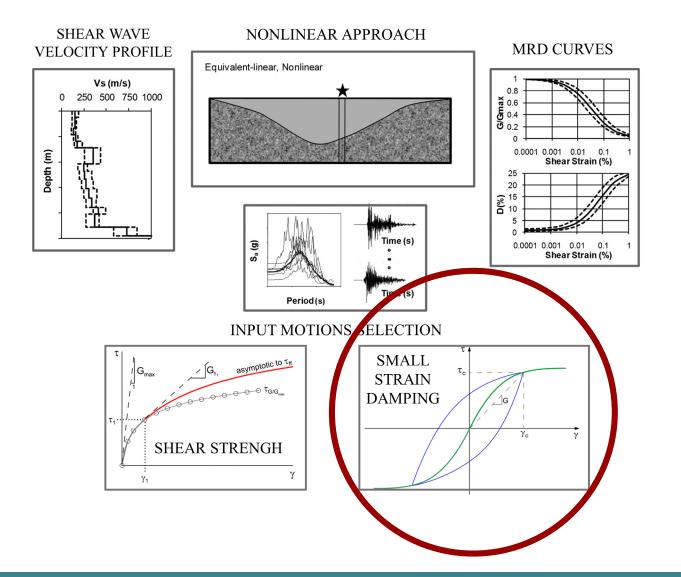
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Overall performance of the models

$\left[\sum_{n=1}^{n} \left(\mathbf{V} - \hat{\mathbf{V}} \right)^{2} \right]$	Empirical model	$\overline{\mathbf{\epsilon}}_{G_S/G_0}$	$\overline{\mathbf{\epsilon}}_{D}$	3
$\overline{\varepsilon}_{G_S/G_0 \text{ or } D} = \sqrt{\frac{\sum_{i=1}^{D} \left(I_i - I_i \right)}{n}} \cdot \frac{1}{\overline{V}}$	Vucetic and Dobry (1991)	0.10	0.46	0.47
V n Y	Darendeli (2001)	0.11	0.41	0.42
	Ciancimino et al. (2020)	0.11	0.39	0.41
$\overline{\varepsilon} = \sqrt{\overline{\varepsilon}_{G_S/G_0}}^2 + \overline{\varepsilon}_D^2$	Wang and Stokoe (2022)	0.10	0.40	0.41

- The Ciancimino et al. model has shown the best overall performance in predicting the MRD curves of the investigated material, although a bias is observed in reproducing the soil linearity threshold
- The comparison with other predictive models highlights that adding several soil parameters as proxies does not necessarily improve the predictions

Uncertainties in Seismic Site Response analyses



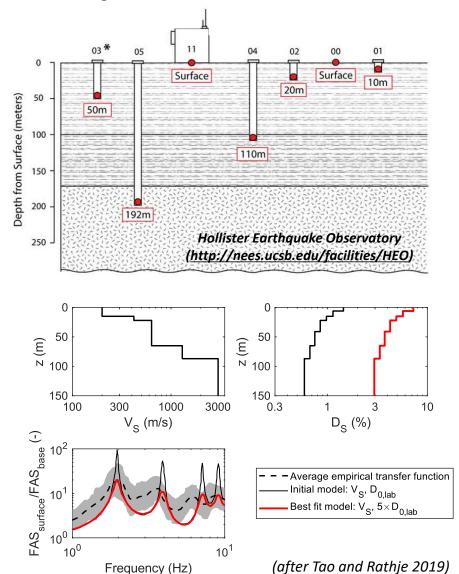
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D0 estimate: laboratory tests

- The small-strain damping ratio is typically estimated from laboratory tests
 - Dynamic tests: Resonant Column test
 - Cyclic tests: Cyclic Torsional Shear test, Cyclic Direct Simple Shear test
- Alternatively, empirical relationships (still laboratory-based) are used

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D₀ estimate: Back-analysis of DownHole arrays



Downhole arrays are a valuable tool for validation of theoretical models as well as the calibration of mechanical parameters, including D_0

Principle

- An initial profile of V_s and D_o (lab-based) is adjusted so that the theoretical amplification matches the measured one
- The amplification is expressed through synthetic parameters

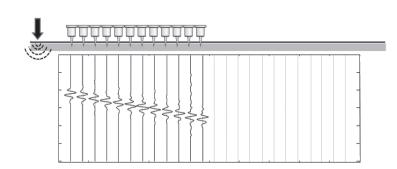
Issues

- Sensitivity to amplification parameter
- Need several, low-intensity motions
- Need of instrumented borehole (limited application in ordinary design)

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D₀ estimate: Geophysical tests



(after Foti et al. 2015)

Surface wave methods (SWM) have become a widely used characterization method, both in the research field and in ordinary design applications

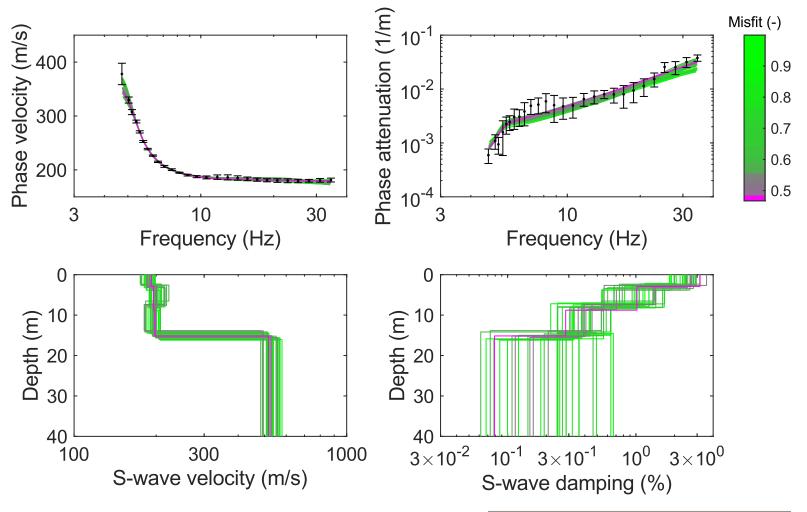
- ✓ Quick and cost-effective
- ✓ Reliable
- ✓ "Standardized" with various published guidelines

Guidelines for the good practice of surface wave analysis: a product of the InterPACIFIC project Open Access Bulletin of Earthq. Eng. BEE 2018 Open Access Sebastiano Foti¹ · Fabrice Hollender² · Flora Garofalo¹ · Dario Albarello³ · Michael Asten⁴ · Pierre-Yves Bard⁵ · Cesare Comina⁶ · Cécile Cornou⁵ · Brady Cox⁷ · Giuseppe Di Giulio⁸ · Thomas Forbriger⁹ · Koichi Hayashi¹⁰ · Enrico Lunedei³ · Antony Martin¹¹ · Diego Mercerat¹² · Matthias Ohrnberger¹³ · Valerio Poggi¹⁴ · Florence Renalier¹⁵ · Deborah Sicilia¹⁶ · Valentina Socco¹

D₀ estimate: Geophysical tests

Characterization of the Garner Valley Downhole Array





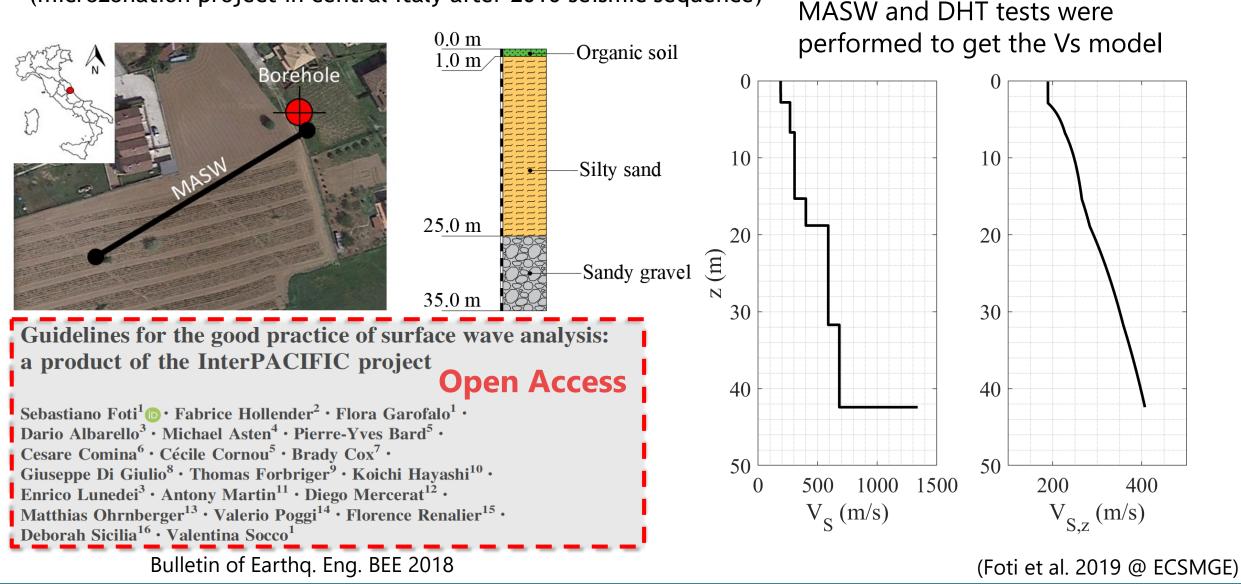
Aimar, 2022 - PhD Thesis

Texas A&M webinar, 10 February 2023

Outline

- Introduction: Seismic Ground Response
- Uncertainties in seismic site response
 - NL vs EL GRA
 - Shear wave velocity models: randomization
 - MRD curves: reliability of empirical models
 - Small-strain damping: in situ tests
- Case Study: Roccafluvione site (Italy)
- Final Remarks

(microzonation project in central Italy after 2016 seismic sequence)

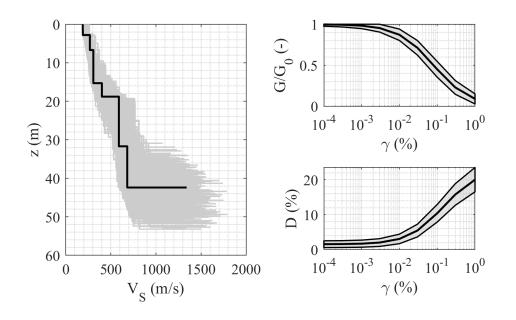


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This example shows the effect of uncertainties on the site response, with focus on the role of site characterization (V_S profile from field tests and MRD curves from the lab)

- Ground models: statistical sample of 1,000 ground models, with V_s profile randomized according to the geostatistical model implemented in Passeri (2020) and MRD curves from the model by Ciancimino et al. (2019);
- Input motions: collection of 7 acceleration time histories, compatible with the seismological features of the Roccafluvione site;
- Type of analysis: Equivalent Linear (EQL) approach, with the DEEPSOIL software;

(Foti et al. 2019 @ ECSMGE)



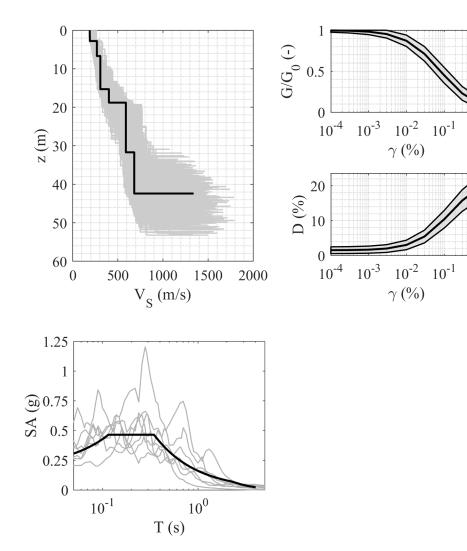
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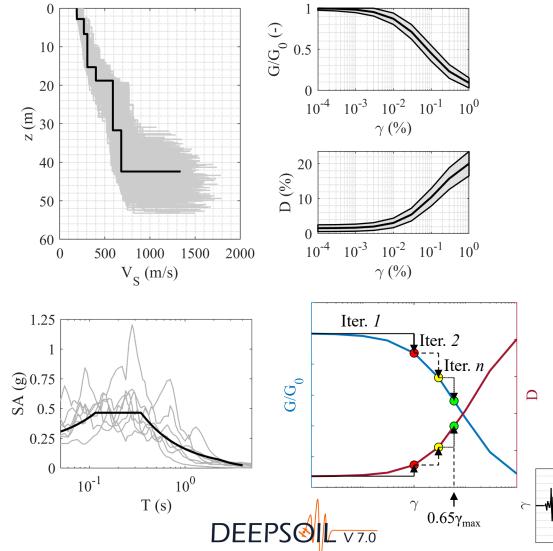
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 $\gamma_{\rm max}$

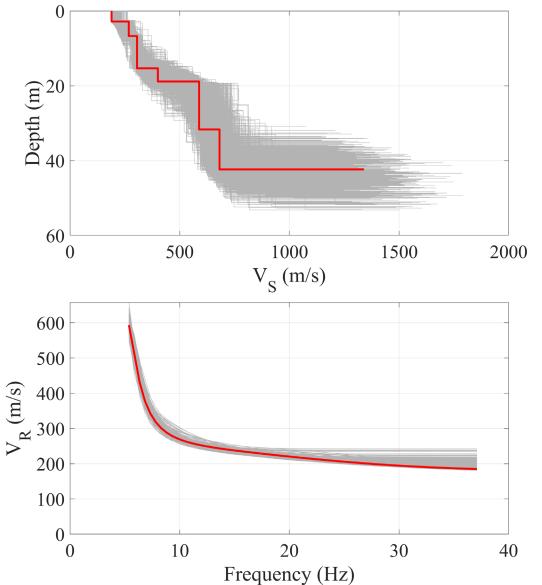
time

(Foti et al. 2019 @ ECSMGE)

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Shear wave velocity profile



Geostatistical model for the management of uncertainties: Passeri, 2020

- Calibrated with a high-quality database of surface wave experimental measurements
- The model is **flexible** as it is based on a global architecture that can be adapted to other seismic tests (e.g., Down-Hole tests)

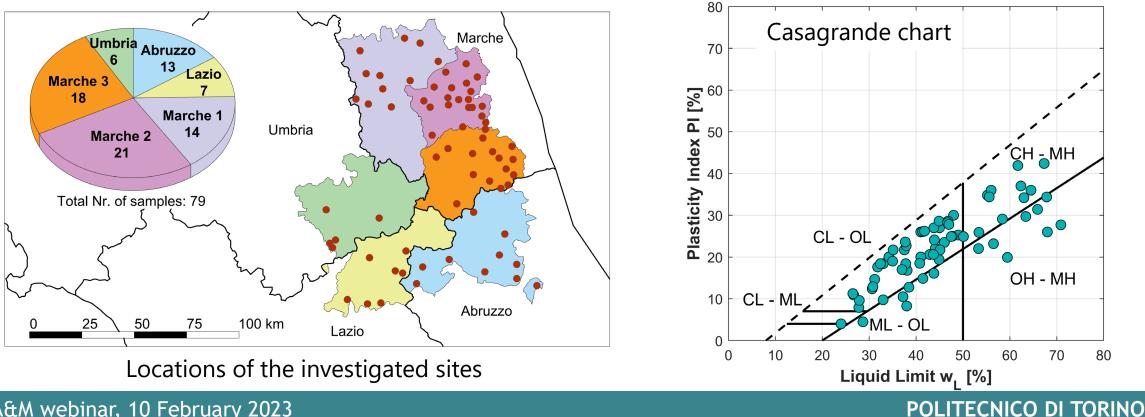
THE MODEL OVERCOMES THE DRAWBACKS OF THE USUAL METHODS ADOPTED FOR TECHNICAL AND SCIENTIFIC APPLICATIONS AND DESCRIBED IN EPRI (2013).

(Foti et al. 2019 @ ECSMGE)

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Modulus Reduction & Damping Curves

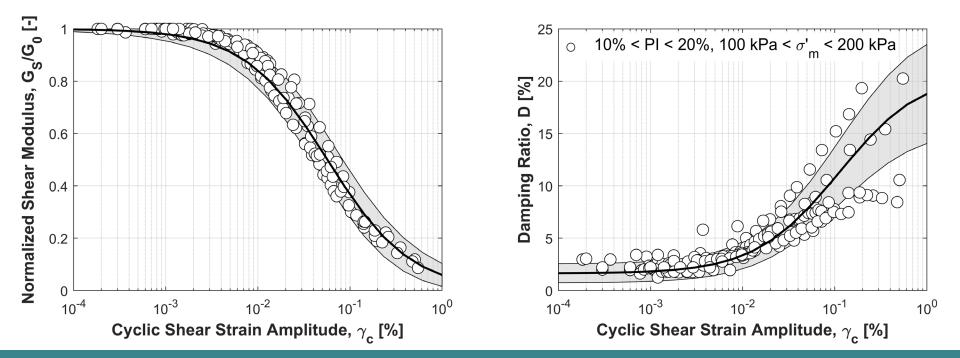
- > Model proposed by **Ciancimino et al. (2019)** to describe the MRD curves. It is a **specialized version of the** Darendeli (2001) model, adapted to capture the specific behavior of soils from Central Italy.
- Study developed within the framework of SM studies carried out after the Central Italy seismic sequence, several universities involved in the project.
- The database includes information from **79 cyclic tests** carried out on clays and silts of low plasticity with PL ranging from 0 to 45% representative of the soils in the region



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Modulus Reduction & Damping Curves

- > **MR curves** described through a **modified version of the hyperbolic model** proposed by Stokoe et al. (1999), as a function of **PI and \sigma'_m**
- > Small-strain damping ratio modelled taking into account separately the influence of PI, σ'_{m} , and f
- D curves modelled assuming the Masing (1926) criteria and fitting the experimental data through an adjusting function
- It provides information on the statistical dispersion of the results, which can be used to quantify the uncertainty affecting the MRD curves.

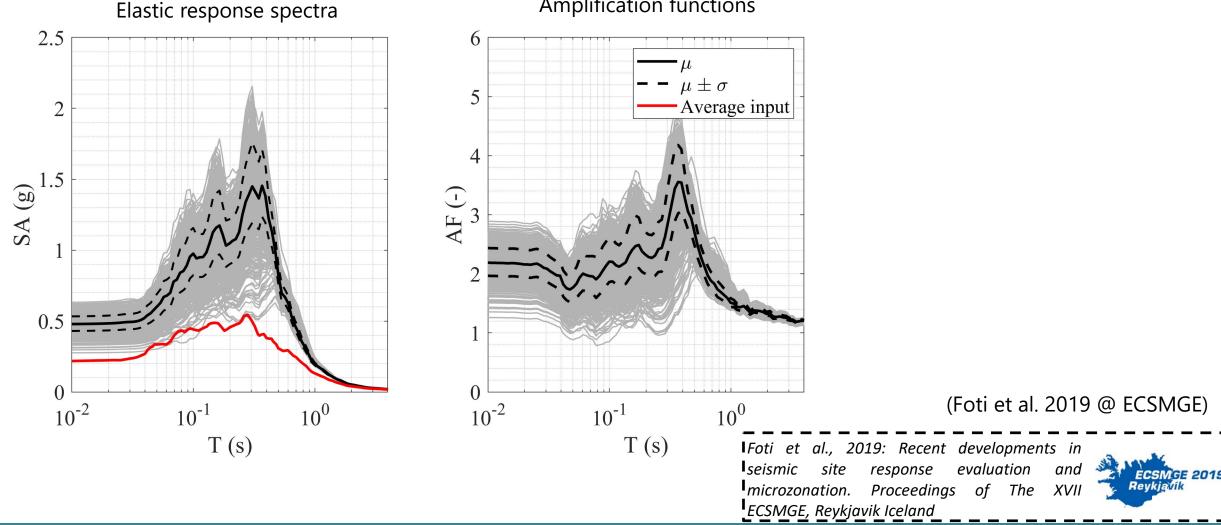


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Results: Acceleration Spectra

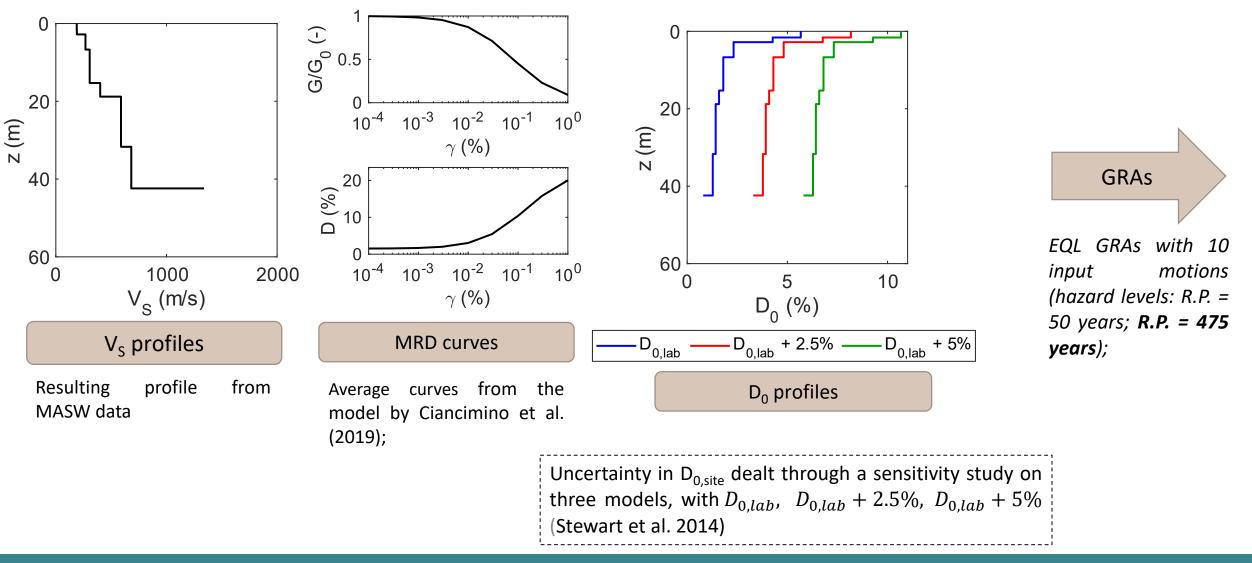
The soil model exhibits an amplification of the ground motion at all vibration periods, with a peak at 0.25 s.



Amplification functions

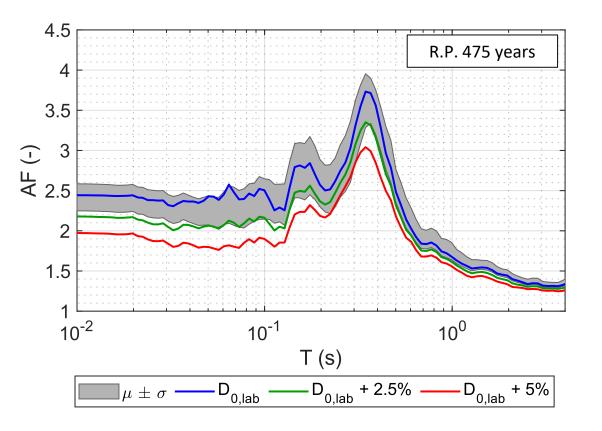
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Effect of uncertainties on the site response: role of D_0

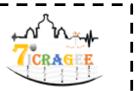


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Effect of uncertainties on the site response: role of D_0



Foti et al., 2021: Uncertainties in Small-Strain Damping Ratio Evaluation and Their Influence on Seismic Ground Response Analyses. Proceedings of 7 ICRAGEE Bangalore, India



Amplification function:

- ✓ For increasing D_0 , there is a reduction of the AF, especially at resonance and at low periods
- The effect is more relevant for *R.P = 50 years* (not shown here), due to less nonlinearity linked to the smaller strain level
- D₀ variability vs {V_s; MRD } variability :
- ✓ The variation in the AF due to increasing D_0 are relevant with respect to variations due to uncertainties in the V_s profile and MRD curves (represented by interval of $\mu \pm \sigma$)

Variations in D_0 may have an impact on the amplification as significant as the one due to variations in V_s and MRD curves.

Hence, its proper estimate is necessary for a reliable prediction of the ground response

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Final remarks

- Stratigraphic amplification may change in a very significant way the seismic input motion for structures and geotechnical systems
- Identification, quantification and management of uncertainties is of primary importance in any (geotechnical) engineering application, especially when dealing with (dynamic) non-linear problems where an a-priori choice of conservative values of the parameters is not possible
- EQL and NL approaches provide similar results for stiff soil. A classification scheme is proposed to check the consistency of results for the two methods
- Geostatistical methods are useful to manage uncertainties in the shear wave velocity profile, but it is of foremost importance that unrealistic models are avoided (i.e., the models have to comply with experimental evidence): overestimation of the variability may lead to unconservative results
- MRD should account for expected uncertainties in their evaluations. Among empirical models, the principle of Occahm's razor suggest that simple models are to be preferred
- The small strain damping ratio is often an overlooked parameter. More efforts are required for improving its evaluation from in situ (geophysical) tests



Webinar Texas A&M University Construction, Geotech and Structures Division February the 10th 2023



Politecnico di Torino

Department of Structural, Geotechnical and Building Engineering

Thank you for your kind attention!

Sebastiano Foti



Mauro Aimar



Andrea Ciancimino Federico Passeri



email: sebastiano.foti@polito.it www.soilmech.polito.it/people/foti_sebastiano